

＜ Translation ＞

Note: This English translation of the original Japanese version of the notice has been prepared for the sole purpose of the convenience of non-Japanese shareholders and shall by no means constitute an official or binding version of the notice.

June 24, 2024

Daiwa Securities Group Inc.
9-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo
Akihiko Ogino, President and CEO

Notice of Results of Exercise of Voting Rights
at the 87th Ordinary General Meeting of Shareholders

We are pleased to inform you of the results of the exercise of voting rights at the 87th Ordinary General Meeting of Shareholders (hereafter, “Meeting”) of Daiwa Securities Group Inc. held on June 21, 2024 as set out below.

1. Status of Voting Rights

Number of shareholders holding voting rights	138,912
Number of voting rights held by such shareholders	14,006,392

2. Matters to be resolved

Bill : Election of fourteen (12) Directors

The nominees for Directors are Mr. Seiji Nakata, Mr. Akihiko Ogino, Mr. Shinsuke Niizuma, Ms. Keiko Tashiro, Mr. Eiji Sato, Ms. Sachiko Hanaoka, Ms. Eriko Kawai, Mr. Katsuyuki Nishikawa, Mr. Toshio Iwamoto, Ms. Yumiko Murakami, Ms. Noriko Iki and Ms. Mami Yunoki.

3. Results of Exercise of Voting Rights (including number of votes in favor of the proposed bills, against the proposed bills and abstaining) and Requirements for Approval of the Bills

Agenda Item	In favor of the proposed bill	Against the proposed bill	Abstentions	Total number of voting rights exercised	Ratio of votes in favor of the proposed bill	Final results
Bill	-	-	-	-	-	-
Seiji Nakata	10, 447, 993	542, 166	344, 619	11, 383, 009	91. 78%	Approved
Akihiko Ogino	10, 428, 286	561, 875	344, 619	11, 383, 011	91. 61%	Approved
Shinsuke Niizuma	11, 264, 373	70, 384	30	11, 383, 018	98. 95%	Approved
Keiko Tashiro	10, 918, 281	71, 887	344, 619	11, 383, 018	95. 91%	Approved
Eiji Sato	11, 263, 579	71, 178	30	11, 383, 018	98. 95%	Approved
Sachiko Hanaoka	10, 609, 905	380, 259	344, 619	11, 383, 014	93. 20%	Approved
Eriko Kawai	10, 886, 075	104, 090	344, 619	11, 383, 015	95. 63%	Approved
Katsuyuki Nishikawa	10, 882, 614	107, 551	344, 619	11, 383, 015	95. 60%	Approved
Toshio Iwamoto	10, 877, 638	112, 527	344, 619	11, 383, 015	95. 56%	Approved

Yumiko Murakami	10, 932, 203	57, 965	344, 619	11, 383, 018	96. 03%	Approved
Noriko Iki	10, 888, 439	101, 726	344, 619	11, 383, 015	95. 65%	Approved
Mami Yunoki	11, 302, 892	31, 866	30	11, 383, 019	99. 29%	Approved

Note 1. The number of votes in favor of the proposed bill, against the proposed bill and abstaining are the sum of the numbers of voting rights exercised in writing or via electronic device (via the Internet, etc.) until the day before the Meeting and the number of voting rights which were exercised on the day of the Meeting and of which we could confirm the result of exercise. The total number of voting rights exercised is the sum of the number of voting rights exercised in writing or via electronic device (via the Internet, etc.) until the day before the Meeting and the number of all the voting rights which were exercised on the day of the Meeting.

Note 2. The requirements for approval of the above bill is a vote in favor by a majority of the votes of the shareholders present at the meeting where shareholders holding a majority of one third or more of the votes of the shareholders entitled to exercise their votes at such shareholders' meeting are present.

Note 3. Ms. Mami Yunoki's name in her family register is Mami Kato.

4. Reason for not including some of the voting rights which are exercised on the day of the Meeting

We do not include some of the voting rights which were exercised on the day of the Meeting of which we could not confirm the result of exercise because all proposed bills meet the requirement for approval by totaling the number of voting rights exercised until the day before the Meeting and the number of voting rights which were exercised on the day of the Meeting of which we could confirm the result of exercise, and therefore, it is resolved in accordance with the Companies Act.

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