

PRIVACY NOTICE

1. Introduction and Scope

This Privacy Notice (this “**Notice**”) explains how Daiwa Securities Co., Ltd. Bangkok Representative Office (hereinafter referred to as the “**Company**,” “**we**,” “**our**,” or “**us**”) processes the Personal Data (as defined below) of different categories of identifiable persons, such as our clients, suppliers, distributors, contractors, sub-contractors, vendors, service providers of our various services (including, but not limited to, their directors and contact persons), and other persons who contact the Company in the course of our business.

We recognize the importance of Personal Data protection and are committed to ensuring that your Personal Data is processed in accordance with the Personal Data Protection Act B.E. 2562 (2019) (the “**PDPA**”) based on this Notice.

In this Notice, “**Personal Data**” means any information relating to a natural person (the “**Data Subject**”) that enables the identification of such person, directly or indirectly, not including information of deceased persons.

2. Acquisition of Personal Data

The Company may obtain your Personal Data directly from you or another source, including, but not limited to the following:

- (a) Provided directly by you when we establish a business relationship with you, including information obtained by business card exchange and provided by you in various documents, products or services;
- (b) Provided when you make any contract or agreement for business purpose; and/or
- (c) Provided when you communicate with us through phone calls, post, emails, and/or other correspondence.

In the event that you provide any Personal Data to the Company on behalf of other persons, it is your responsibility to confirm that such other persons have consented to the processing and transfer of their Personal Data in accordance with this Notice (if necessary), and you shall be authorized to receive any privacy notices and other related information on their behalf. In the event that you provide a minor’s Personal Data, you shall obtain consent from his/her parent(s) or legal guardian(s).

We do not knowingly collect Personal Data from minors under the age of 20 without the consent of his/her parent(s) or guardian(s). If we learn that Personal Data of any Data Subject less than 20 years of age has been collected, we will obtain the consent of his/her parent(s) or guardian(s), or take reasonable measures, including, but not limited to, to promptly delete such Personal Data from our records.

3. Personal Data Processed

The Company may collect, use, and disclose Personal Data solely to the extent necessary to conduct its business activities and only within the scope of the purposes indicated when the Personal Data is obtained. The Personal Data that the Company processes may include the following:

Order	Categories of Data Subjects	Personal Data Processed
(1)	Our clients, suppliers, distributors, contractors, sub-contractors, vendors, and	Name, telephone number, email address, job position, address, passport number and type of VISA (if necessary), credit card details and any other information you provide us in the course

	service providers (including their directors and contact persons)	of business activities, internet protocol (IP) address, your login data, browser type and version, time zone setting and location, browser plug-in types and versions, operating system and platform and other technology on the devices you use to access this website including their usage data.
(2)	Other persons	Name, address, telephone number, email address, internet protocol (IP) address, your login data, browser type and version, time zone setting and location, browser plug-in types and versions, operating system and platform and other technology on the devices you use to access this website including their usage data, etc.

4. Purposes of Processing

The Personal Data of the following categories of Data Subjects may be processed for the following purposes:

Order	Categories of Data Subjects	Purpose of Processing
(1)	Our clients, suppliers, distributors, contractors, sub-contractors, vendors, and service providers (including their directors and contact persons)	(a) to keep customer information and vendor and corporate company's data (b) to provide follow-up services (c) to communicate and respond to inquiries (d) to set up the meeting for corporate and investor (e) to fill in visa letter of invitation form (f) for tax related procedures (g) for contract and dispute management (h) for accounting and financing audit (i) for room booking (j) to manage the payment, fees, and charges (k) to receive goods or services (l) to notify you about changes of our Privacy Notice (m) To use data analytics to improve our website, products/services, customer relationships and experiences
(2)	Other persons	(a) to conduct ordinary business activities (b) To use data analytics to improve our website, products/services, customer relationships and experiences

5. Legal Grounds for Processing

Your Personal Data shall be processed for the aforementioned purposes in accordance with the PDPA. In principle, the Company processes your Personal Data only when:

- (a) the processing is necessary for legitimate interests pursued by the Company as a data controller or interests of a third party other than the data controller, except where the fundamental rights and freedoms of the Data Subject regarding the protection of his or her Personal Data override these interests.

Other than where justified by the legitimate interests above, the Company will usually process Personal Data where necessary for:

- (b) the performance of a contract to which the Data Subject is a party or in order to take steps at the

request of the Data Subject prior to entering into a contract;

- (c) compliance with a legal obligation imposed upon the Company;
- (d) preventing or suppressing a danger to a person's life, body, or health;
- (e) the performance of a task carried out in the public interest or in the exercise of official authority vested in the Company;
- (f) the achievement of purposes relating to the preparation of historical documents or archives for the public interest or for purposes relating to research or statistics in which suitable measures to safeguard the Data Subject's rights and freedoms are taken; and/or
- (g) processing based on the Data Subject's consent. Before or at the time the Personal Data is collected, the Company shall inform the person concerned about the purpose for which consent is required, what Personal Data will be collected for processing, the right to revoke consent, the possible consequences for the Data Subject in automated individual decision-making and profiling, and the possible transfer to third countries.

Some categories of Personal Data are of sensitive nature, and data protection legislation has imposed a stricter regime for these special categories of Personal Data ("**Sensitive Personal Data**"). This data concerns race; ethnic origin; political opinions; cult, religious, or philosophical beliefs; sexual behavior; criminal records; health data; disability; trade union information; genetic data; biometric data; and any data that may affect the Data Subject in the same manner. In principle, the processing of Sensitive Personal Data is forbidden unless the Company can refer to an exception. In the limited number of cases, in which the Company processes Sensitive Personal Data, the Data Subject will be informed in advance. For more information about the Company's handling of Sensitive Personal Data, please refer to "11. Contact Details of the Data Controller."

6. Provision of Personal Data to Third Parties

For the purposes stated in "4. Purposes of Processing," the Company may disclose your Personal Data:

- (a) to companies and entities within its group, including the foreign entities;
- (b) to third-party agents/suppliers or contractors bound by obligations of confidentiality, in connection with the processing of your Personal Data for the purposes described in this Notice (this may include, without limitation, leasing companies, sub-contractors, customers, system engineer service providers, financial organizations, business advisors, law firms, and other service providers); and/or
- (c) to the extent required by laws, regulations, or court orders (for example, if we are under a duty to disclose your Personal Data in order to comply with a legal obligation).

The Company may transfer your Personal Data to countries outside of Thailand, such as Japan, European Economic Area (EEA), or the United States. For some countries outside of Thailand that may not have Personal Data protection laws as comprehensive as those that exist in Thailand, and the same level of protection as that set forth in the PDPA may not necessarily be guaranteed. In such case, the Company shall implement the necessary safety management measures pursuant to the requirements of the PDPA.

7. Data Storage and Retention Period

Your Personal Data will be stored securely in the Company's data server or in a third party's data

server. The Company will strictly control access to Personal Data and will review such access control from time to time.

The Company will not keep your Personal Data for longer than is required (i) for the purpose for which it has been collected or processed, in accordance with the applicable laws, or (ii) to allow the Company to protect its legitimate rights and interests or those of third parties. To determine the appropriate retention period for the Personal Data, we shall consider the amount, nature, and sensitivity of the Personal Data; the potential risk of harm from unauthorized use or disclosure of the Personal Data; the purposes for which we process the Personal Data and whether we can achieve those purposes through other means; and the applicable legal requirements.

8. Cookies

You can set your browser to refuse all or some browser cookies, or to alert you when websites set or access cookies. If you disable or refuse cookies, please note that some parts of this website may become inaccessible or not function properly.

9. Security management of personal data

The Company has put in place appropriate security measures to prevent your personal data from being accidentally lost, used or accessed in an unauthorized way, altered or disclosed. In addition, the Company has put in place procedures to deal with any suspected personal data breach and shall notify you and any applicable regulator of a breach where we are legally required to do so.

10. Rights of Data Subjects

You have the right to access, request the correction or deletion of, request the limitation of processing of, object to the processing of, withdraw consent regarding, and request the data portability of your Personal Data retained by us. Any request for any of these rights should be submitted to the data controller specified in “11. Contact Details of the Data Controller.” When we receive a request based on such rights, we will conduct all necessary investigations without undue delay and provide you or a nominated third party with the relevant Personal Data or otherwise respond to such request without undue delay. If you have given your consent for a specific processing purpose to the Company, you can withdraw this consent at any time. However, the withdrawal of such consent shall not affect the processing of Personal Data for which you have already legally given consent to the Company.

You also have the right to lodge a complaint with the Personal Data Protection Committee if you have any complaint regarding our processing of your Personal Data.

11. Contact Details of the Data Controller

To exercise your rights specified in “10. Rights of Data Subjects” or to submit inquiries concerning this Notice, you can contact the following persons/departments:

Daiwa Securities Co., Ltd. Bangkok Representative Office
Responsible Person: [Tunyalux Hongnoi]
Telephone Number: [02-252-5650 Ext.101]
Email Address: [tunyalux@th.daiwacm.com]