

Daiwa Securities Group
SDGs Promotion Original Logo



An employee designed this logo while imagining the realization of a sustainable society. We will share the logo across the Group and advance our initiatives to achieve the SDGs.

Overview of Daiwa Securities Group (as of June 1, 2021)

- Corporate Name

Daiwa Securities Group Inc.
- Head Office

GranTokyo North Tower
9-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-6751, Japan
- TEL

81-3-5555-1111
- President and CEO

Seiji Nakata

Group website



Daiwa Securities Group
and SDGs



Facilitating the Flow of
Money to Benefit Society

What are the Sustainable Development Goals (SDGs)?

The Sustainable Development Goals (SDGs) are global goals for 2030 set out in the 2030 Agenda for Sustainable Development, which was unanimously adopted at a UN Summit in September 2015.

There are 17 goals (see chart below) targeting social issues across all countries and comprising 169 targets. The SDGs aim to build an inclusive and sustainable society through the achievement of these goals and targets.

The SDGs encompass a broad range of issues including poverty, hunger, environmental issues and work style. The SDGs are designed not only to address issues in developing countries but also to act as a call to action for developed countries, emphasizing that “no one will be left behind” in the course of their implementation.



Formulating “Vision 2030” Towards Realizing a Sustainable Society



Daiwa Securities Group Inc.
President and CEO

中田 誠司
Seiji Nakata

Due to the COVID-19 pandemic, sustainable finance attracted much attention in FY2020. The Daiwa Securities Group has recognized anew our social mission of acting as a bridge between the private and public sectors to raise funds, and aims to promote a sustainable capital cycle by helping to increase understanding of the SDGs and ESG in asset building.

Moving forward to 2030, we have formulated “Vision 2030”, setting out the role the Daiwa Securities Group will play in helping to realize a sustainable society. The Group will work collectively as one to win support for our passion for the Vision and continue to be the most reliable and trusted partner for all stakeholders.



Daiwa Securities Group Inc.
Director, Deputy President,
and Head of Overseas and SDGs

田中 隆子
Katsuko Tanaka

The COVID-19 pandemic has prompted the world to seek a new state of society, and changes are continuously occurring around the globe. Companies are no exception. For the Daiwa Securities Group to continue to be a company valued by society in the times ahead, it is important to set out and put into practice a vision with future trends in mind.

“Vision 2030” is a management vision newly formulated by the Daiwa Securities Group. It outlines the goals the Group should aim for as we are moving forward to 2030—the target year for the SDGs.

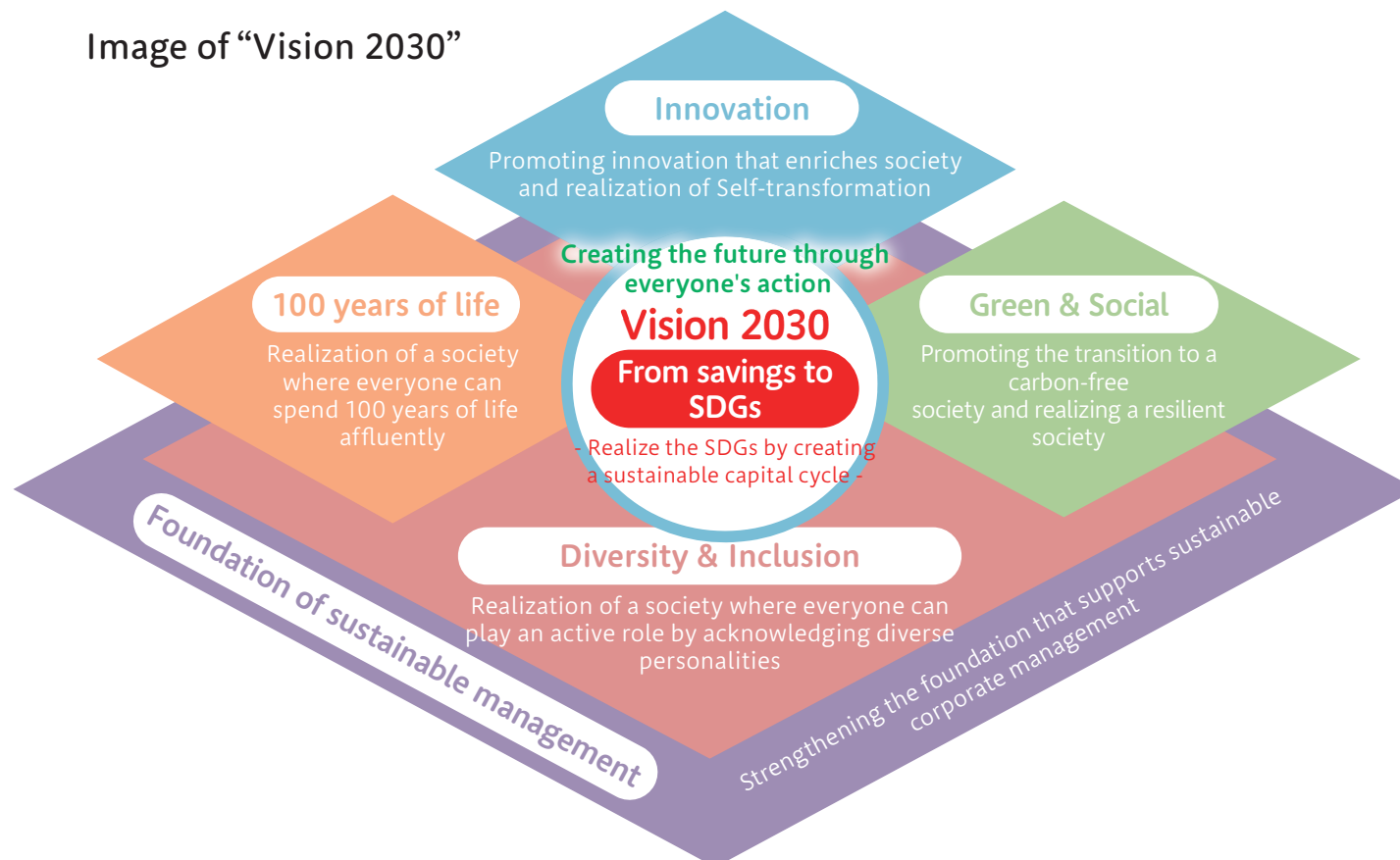
Under the key concept of “From savings to SDGs,” all officers and employees will unite as one and actively work on realizing the SDGs by creating a sustainable capital cycle.

Vision 2030

Under the concept of “From savings to SDGs” the management vision “Vision 2030” is aimed at realizing the SDGs by creating a sustainable capital cycle. We have identified priority areas and issues we will focus on.

Our new Medium-term Management Plan is also a strategy for the initial three years towards achieving “Vision 2030” and we have set KPIs in the plan to manage and drive progress.

Image of “Vision 2030”



"Vision 2030" and materiality

Priority areas



100 years of life



Innovation



Green & Social



Diversity & Inclusion



Foundation of sustainable management

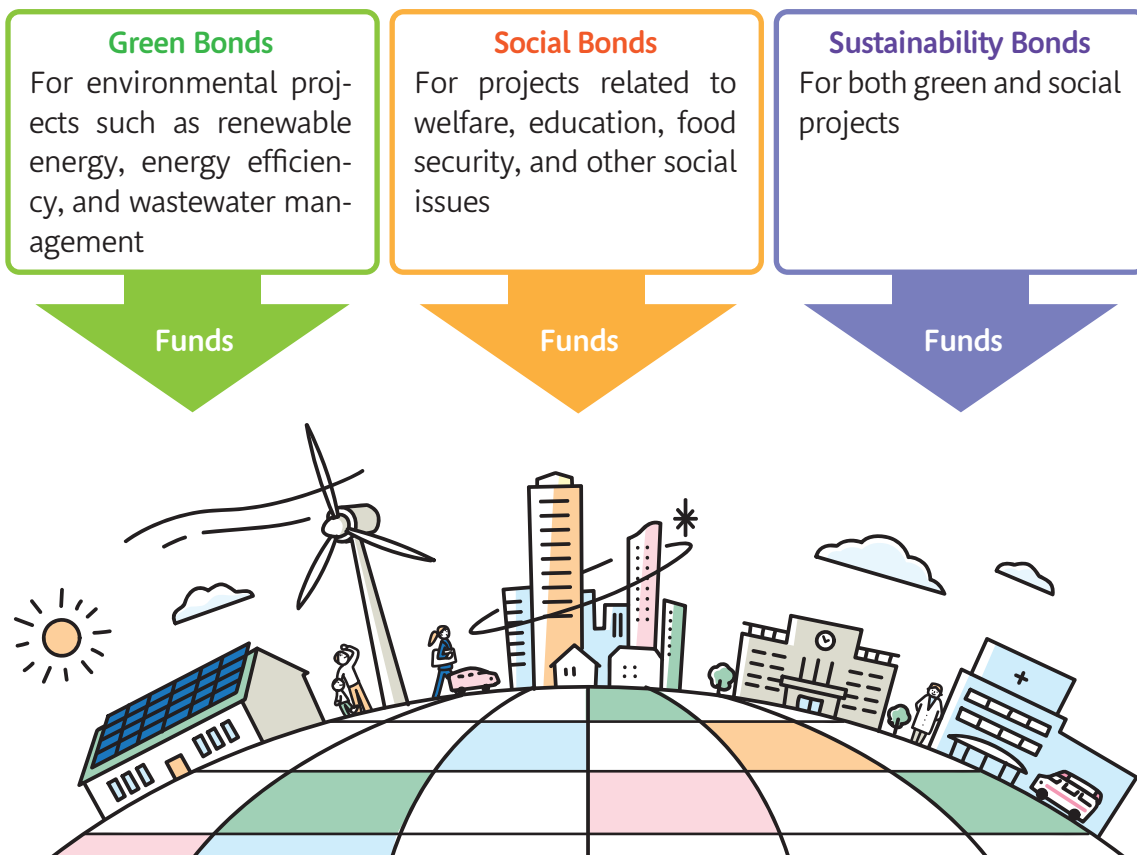
Priority issues

1. Support for household asset formation and conservation toward the realization of a prosperous 100-year life
2. Realization of local revitalization with private funds
3. Financial support for economic growth in Asia
4. Support for accelerating corporate metabolism toward the realization of innovation that enriches society
5. Reform of business portfolio to create new added value
6. Leading social transformation as a one-of-a-kind financial platformer
7. Promotion of green finance to support the realization of a carbon-free society
8. Development and provision of new financial products and services that contribute to the realization of a sustainable society
9. Fostering human resources who can provide added value
10. Building an organization where everyone can play an active role by acknowledging diverse personalities
11. Strengthening a sound and highly transparent management base
12. Leading the maintenance and development of financial and capital markets
13. Creating a beautiful global environment that will lead to the next generation
14. Realization of a better future community/society



The Daiwa Securities Group will focus on providing financial products and services that contribute to the resolution of environmental and social issues, with the twin goals of promoting a shift to a carbon-free society and of realizing a resilient society. The Group will also proactively engage in advisory services and investments in the field of renewable energies.

Types of SDG Bonds



Expanding the Range of SDG-related Funds



We are expanding our range of SDG-related funds to enable customers to make SDG investments that meet their needs.

In July 2020, we launched the “Daiwa Society 5.0 Fund,” which is a fund based on the theme of Society 5.0.

Examples of SDG-related funds

- Daiwa Society 5.0 Fund
- Global Impact Fund
- Alliance Bernstein Global SDGs Equity Fund
- Social Issue Solving Supporting Fund
- Global Mobility Service Equity Fund
- Food Innovation Selected Equity Fund
- Global All Bio Genome Equity Fund
- Fidelity Global Medical Equipment Fund



For details of the funds, please scan here.



Daiwa Next Bank, Ltd.

“Support Term Deposits” Supporting Society



Daiwa Next Bank donates an amount linked to the balance of its “Support Term Deposits” to a range of organizations providing support to Society across four themes.

The Deposit amount stood at approximately ¥134.8 billion as of March 31, 2021. As a result, Daiwa Next Bank donated ¥13.19 million in April 2021 (the second half of FY2020), which was a record-high. The cumulative total amount donated is approximately ¥41 million.

Feedback received from customers includes: “This was a good opportunity to learn about social issues” and “I hope similar initiatives will become more widespread.”

Examples of activities supported through term deposits

Environmental protection, support to sports for persons with disabilities, medical support to children, support to children in poverty and other vulnerable situations



Daiwa Energy & Infrastructure Co. Ltd.

Investment in Renewable Energy



Daiwa Energy & Infrastructure Co., Ltd. aims to solve social issues by establishing a new energy system through investment in renewable energy.

A solar power plant, which was developed in Shiraoi Town, Hokkaido, was completed in October 2020, which generates approximately 35 megawatt of electricity. It is one of the largest power plants owned by the company.



Overall view of the power plant



The Daiwa Securities Group is a leading financial services provider; as such, it will provide solutions that cater to diversifying customer needs, with the goal of realizing a society in which everyone can live a prosperous 100 years of life.

Daiwa ACA Business Succession Fund

Providing a Broader Range of Solutions for Business Succession



Among small- and medium-sized companies in which the owner is retiring, nearly half are believed to have no successors. The business succession problem is therefore a pressing social and economic challenge for Japan.

Daiwa ACA Business Succession Fund provides a solution to this challenge by becoming a new sponsor for companies on behalf of their owners. As a new corporate partner, the Fund helps small and medium-sized companies to pass on their excellent technologies and services to future generations while encouraging, through business succession, technological and service innovations that maintain continuity, thereby contributing to Japan’s sustainable economic development.



Daiwa Securities Co. Ltd.

Daiwa Future Designers



As life plans become increasingly diversified and sophisticated with the arrival of the 100-year life era, Daiwa Securities has introduced “Future Designers”, a financial consulting service to help each of its customers realize their medium and long-term goals and dreams.

To meet the broad needs of customers, three planning tools are available in “Future Designers”: “Investment Planning”, “Property Succession Planning”, and “Life Planning”.

Daiwa Securities also encourages employees to earn credentials, such as CFP® and Securities Analyst qualifications, to ensure that the employees have the knowledge needed to meet the changing demands of customers.

Utilizing such expertise and analyses conducted with a variety of planning tools, the company provides a range of support to customers at various life stages, from consideration on their life plans and asset management to inheritance plans.



The Daiwa Securities Group will promote innovation that enriches society through the provision of innovative financial products and services, providing new investment opportunities for its customers. In addition, the Group will seek to improve and innovate by accelerating and refining its hybrid strategies.*

CONNECT Co. Ltd.

Creating the future through a single share



CONNECT is a securities company that started business in July 2020. It provides applications that allow users to complete everything via their smartphones.

CONNECT offers a range of services enabling people in the digital age to easily start to build assets. Such services include “Hina Kabu” which allows users to engage in small-scale, real-time stock trading in units of one share, and “Mainichi Toshin” which enables investment in investment trusts in daily instalments of ¥100. Investment game applications and point investment services are also provided in collaboration with external companies.

Utilizing the power of innovation, CONNECT will continue to develop new services, aiming to become the securities company most valued by the digital-native generation.



Fintertech Co. Ltd. Daiwa Food & Agriculture Co. Ltd.

Good Time Living Co. Ltd. Daiwa Securities Realty Co. Ltd.



Providing New Value to Customers

In 2018, the Daiwa Securities Group established Fintertech developing the next-generation financial services for the digital-native generation via the use of blockchain and other cutting-edge technologies.

Daiwa Food & Agriculture aims to contribute to solving social issues surrounding the agriculture and food sectors in Japan through the promotion of industrialization in agriculture, delivering pursuing expansion of scale and efficiency improvement.

Moreover, the Daiwa Securities Group turned Good Time Living, which operates residence facilities for the elderly, into a subsidiary in 2019. In Japan, where the population is rapidly aging, providing high-quality nursing care facilities and services is an urgent issue. We will introduce our customers and their families to reliable residential facilities for the elderly and provide a wide range of support for their secure and fulfilling second life.

In April 2021, the Daiwa Securities Group established Daiwa Securities Realty to meet the diversifying real estate investment needs of our customers Daiwa Securities Realty will plan and develop different forms of real estate investment products from real estate investment trusts (REITs) that are currently provided by Daiwa Securities Group.

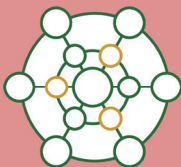


Image of tomato cultivation



Image of properties marketed by Daiwa Securities Realty

* Hybrid strategy
This is a strategy of expanding our business portfolio into new businesses expected to provide synergies, while positioning the securities business as our core, with the aim of delivering a wider, and more stable, revenue base



The Daiwa Securities Group will contribute to the delivery of societies which acknowledge diverse forms of individuality, and in which everyone can flourish. In addition, the Group will continue to focus on molding an organization in which each of its employees can be their true selves and experience work satisfaction.

Developing Workplace Environments Where Employees Can Enjoy Job Satisfaction



To provide customers with high added-value services, the Daiwa Securities Group is fostering the development of workplace environments where all employees can take on challenges while achieving their maximum potential.

As an initiative to encourage women to succeed, we have set a goal of increasing the ratio of female directors to at least 30% by 2030, with Daiwa Securities aiming to achieve that target by FY2025.

In recognition of our continuous efforts, the Daiwa Securities Group has been selected as a “Nadeshiko Brand*” for seven consecutive years in recognition of its excellent track record in empowering women.

In FY2011, to enable employees with disabilities to handle a wide range of responsibilities, the Daiwa Securities Group broadened the scope of recruitment opportunities to career track employees, regional career-track employees, and customer service employees. Once people with disabilities are hired, we provide support for their career advancement by, for example, creating opportunities for career track changes. To further strengthen our support to employees, we also introduced the Daiwa LEAP Plan* in 2018.

*“Nadeshiko Brand” and “Health & Productivity Stock Selection” are awarded jointly by Japan’s Ministry of Economy, Trade and Industry and the Tokyo Stock Exchange.

*The Daiwa LEAP Plan is comprehensive support that includes a leave program for outpatient treatment, subsidies for expenses incurred to start work, and the introduction of support tools according to the type of disability.



Helping to Deepen Understanding of LGBT



The Daiwa Securities Group addresses LGBT issues in its training for new employees, and the cumulative number of employees undergoing such training is now approximately 5,000. LGBT issues are also covered in a Human Rights Awareness Workshop held by the Group every year for all employees in an effort to help deepen their understanding. The Personnel Department also provides support to employees changing gender.

Daiwa Securities has expanded some of its services for customers so that same-sex partners of customers are deemed to be family members.

Diversity & Inclusion website



Promotion of Health and Productivity Management



To enable employees to be in better health and perform their duties more safely, the Daiwa Securities Group started providing the internal online medical care service “Daiwa Online Care” in 2020. Viewing employees’ health from a corporate management perspective, the Group has been actively working on initiatives to maintain and improve their health and has been selected as a “Health & Productivity Stock Selection*” for seven consecutive years.



Initiatives at Overseas Offices

Our overseas offices are also actively promoting diversity & inclusion. For International Women’s Day 2021, DC Advisory participated in the “#ChooseToChallenge” campaign by creating a video message from DC employees detailing how they tackle gender inequality. Participants from across the US, India, Europe and Japan were included.

For the video message, please scan here.





Children's Smile Project



As a measure for tackling the issue of wealth inequality, particularly the issue of child poverty, the Daiwa Securities Group is undertaking the “For Your Dreams—Daiwa Securities Group Children’s Smile Project.”

Under the “Children’s Smile Project,” which started in 2017, the Daiwa Securities Group is operating two funds from this fiscal year. We have recently selected recipients for these funds.

“Daiwa Securities Group Child Support Fund for a Bright Future”

This fund was created in September 2017 with the purpose of improving the environment for children and breaking the chains of poverty. Since FY2020, we have only selected organizations entitled to receive continuous grants. As a result of an online interview assessment by the selection committee comprising experts, four organizations were chosen.

“Daiwa Securities Group Future Support Bonds Child Support Group Sustainable Fund”

This fund was created in October 2020 in collaboration with the Public Resources Foundation, with ¥102.5 million contributed from the Daiwa Securities Group Future Support Bonds. The fund is designed to improve the sustainability and resilience of recipient organizations so that support can be provided to children even during times of emergency. In the first fiscal year, eight organizations were selected.



“Children’s Smile Project” website



Providing Financial Knowledge across the Generations

The Daiwa Securities Group provides opportunities for financial education to help deepen financial literacy across the generations and increase money flows in the market, helping to revitalize financial markets in the future.

Cooperation with the “Job Museum”

The Daiwa Securities Group cooperates with the “Job Museum”, career education materials for elementary school children designed to allow children to proactively decide on their future courses to prevent career mismatches. The book version, “Job Almanac”, meets curriculum guidelines and can be used in school classes.

Job Museum Kids Website Japanese



Daiwa Internet TV

We produce “Daiwa Internet TV” at our own studio and distribute economic, social and investment information free of charge in a varied and timely manner.

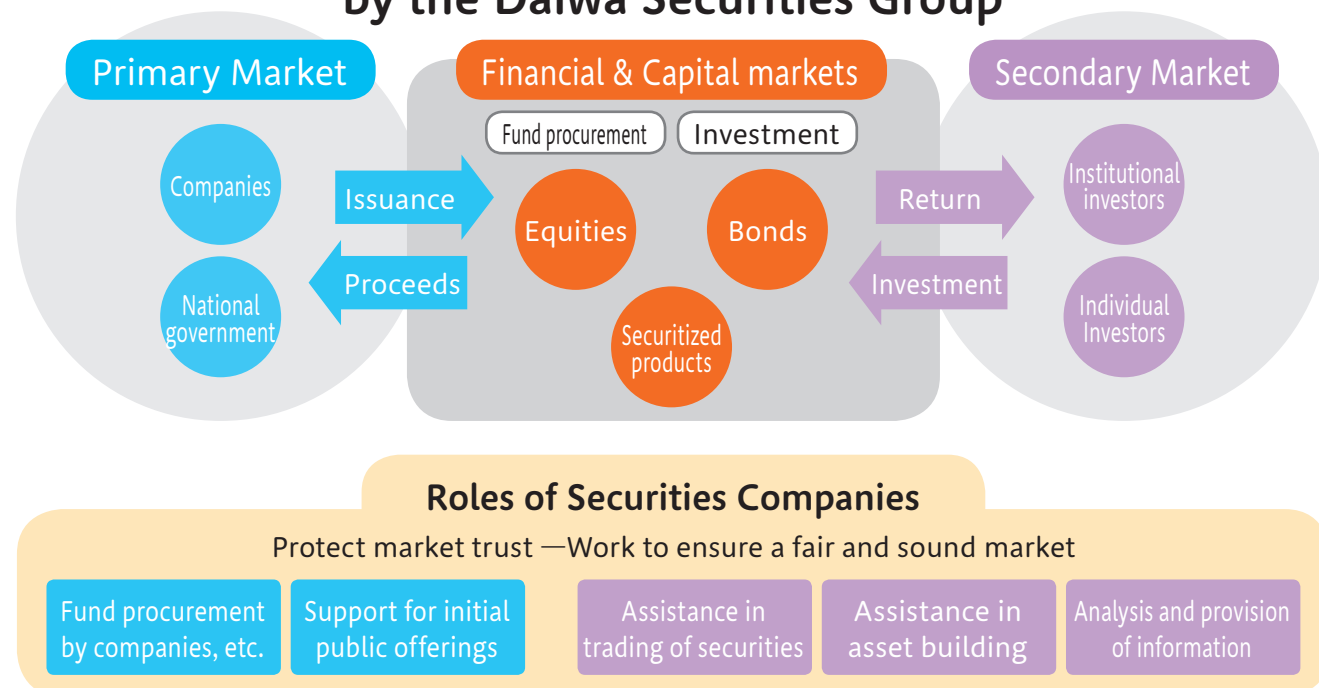
Daiwa Internet TV Japanese



Supporting Sound Financial and Capital Markets

The Daiwa Securities Group assists companies that need funds and supports the efficient allocation of capital. We will continue to support social infrastructure by contributing to the creation of a more active and stable financial markets.

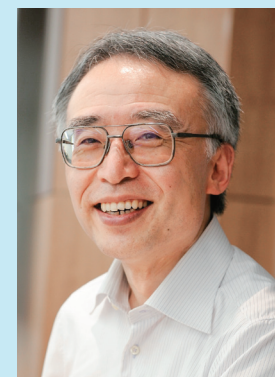
Securities Companies' Roles in Society as Viewed by the Daiwa Securities Group



Expectations for the Capabilities of

Securities Companies

Hoping to contribute to solving issues facing society—even if only slightly—the Daiwa Securities Group considers and takes actions together with local communities and civil society. We invited comments from Professor. Youichi Nakamura , Director of Rikkyo Institute for Social Design Studies.



Youichi Nakamura
Professor, Graduate School
of Social Design Studies
Director of Rikkyo Institute
for Social Design Studies

Towards Construction of an Empathetic Capital Society Based on Altruism Great Expectations for the Capabilities of Securities Companies

What we should keep in mind about the SDGs is that we need to take integrated actions rather than merely seeking to achieve individual goals within the 17 areas and 169 targets. If environmental indicators are not achieved, the foundation for social and economic indicators will collapse. To address the crisis brought about by systems generated by humankind, collaboration among companies as well as collaboration across different sectors will be required towards changing the frameworks on which economic activities have been based thus far.

It is no exaggeration to say that building and realizing business in the Anthropocene that can attract investments based on new ideas beyond short-term utilitarianism, such as the blue economy and circular economy, is increasingly becoming a top priority amid the COVID-19 pandemic. I also have great expectations for the capabilities of securities companies that could lead to the construction of an empathetic capital society based on altruism through means such as the “Child Support Group Sustainable Fund,” in which I was also involved as a recipient selection member.