

Corporate Principles

Corporate Principles of the Daiwa Securities Group

- Building trust

The trust and approval of customers form the very foundation of the Daiwa Securities Group. The Group will always place the needs of customers first, and strive to develop the advanced, specialized skills to offer them the most attractive products and services of any securities group.

- Contributing to society

The Daiwa Securities Group will seek to benefit the economy and society through the development of healthy financial markets. In addition to scrupulously observing both regulations and internal policies, the Group will strive to maintain a high sense of morality and duty, endeavoring to continue contributing to the sustainable growth of the societies in which we operate.

- Placing importance on personnel

The source of the Group's competitiveness lies in the capabilities of its employees. The Group will promote the creativity of employees by offering them a challenging and self-directed working environment that encourages their abilities and appropriately rewards their contributions.

- Maintaining healthy earnings results

The Group will always seek to develop healthy business operations and to increase corporate value for the benefit of shareholders. By providing customers with attractive products and services, the Daiwa Securities Group will seek to generate strong profits and healthy returns for shareholders.

Daiwa Securities Group

Editorial Policy

Publication of Daiwa Securities Group Integrated Report 2017

Daiwa Securities Group has formulated and published the corporate principle which consists of four components: "building trust," "placing importance on personnel," "contributing to society," and "maintaining healthy earnings results." This corporate principle is the bedrock from which we aim to increase corporate value over the longer term, by managing operations with an eye on creating value for our stakeholders, while fulfilling our responsibilities to society as a major player in capital markets.

Daiwa Securities Group believes its social mission is to contribute to the development of society and the economy through participation in financial and capital markets, by bringing together the fund management needs of individuals, corporations and institutional investors and the operating fund procurement needs of companies, national and local governments, public institutions, and international institutions. To deepen understanding of the corporate activities of Daiwa Securities Group, we have published an integrated report.

As the Company's 115th anniversary since its foundation, FY2017 is a milestone year for Daiwa Securities Group. In line with this history, the Group has established a unique corporate culture. Under a management structure that was renewed in April 2017, Daiwa Securities Group has put together an integrated report that provides details of its growth measures, including both the corporate culture and DNA that the Company has nurtured over many years as well as the Group's efforts to establish a robust structure through the expansion of stable revenues. At the same time, the Integrated Report outlines details of the Company's management policy in FY2017. In order to upgrade and expand the disclosure of non-financial information, a special feature on the Group's environmental, social, and governance (ESG) initiatives has been included in the report. We hope you find this information beneficial.

Information about our Corporate Social Responsibility initiatives is available on the Group's CSR website and in the annual CSR Report, which can be downloaded in PDF format from the CSR website.

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CSR website:
<http://www.daiwa-grp.jp/english/csr/index.html>
CSR Report:
<http://www.daiwa-grp.jp/english/csr/report/archives/index.html>