Other Information

Daiwa Securities Group Inc. Corporate Data	125
Stock Information	125
Organization and Officers	126
Domestic Group Companies	130
Overseas Group Companies	131

Daiwa Securities Group Inc. Corporate Data

Head Office
GranTokyo North Tower
9-1, Marunouchi 1-chome, Chiyoda-ku,
Tokyo 100-6751, Japan
Tel: (81) 3-5555-1111

Website
http://www.daiwa-grp.jp/english/ir/

Commencement of Operations
May 1, 1902

Date of Founding
December 27, 1943

Common Stock
Issued and Outstanding
1,749,378,772 shares
(as of March 31, 2013)

Number of Shareholders
124,448 (as of March 31, 2013)

Independent Public Accountant
KPMG AZSA LLC

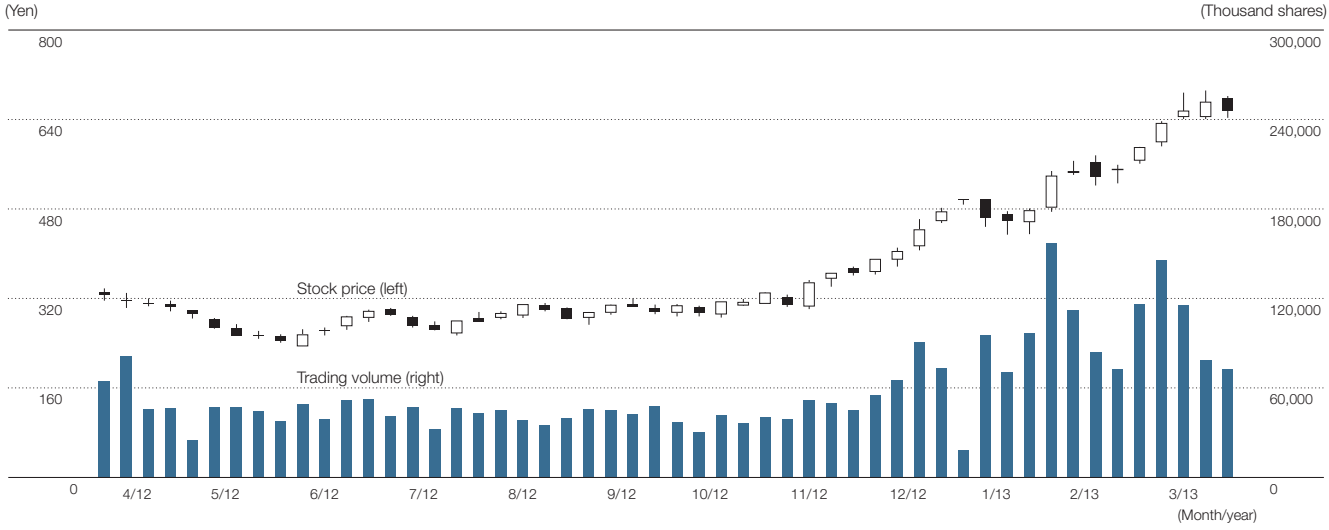
Stock Exchange Listings
Tokyo, Osaka, Nagoya (as of March 31, 2013)

Transfer Agent and Registrar
Sumitomo Mitsui Trust Bank, Limited
Stock Transfer Agency Department
1-4-1, Marunouchi, Chiyoda-ku,
Tokyo 100-8233, Japan

For further information, please contact:
Daiwa Securities Group Inc.
Investor Relations Office
Tel: (81) 3-5555-1300
Fax: (81) 3-5555-0661
Email: ir-section@daiwa.co.jp
URL: http://www.daiwa-grp.jp/english/ir/

Stock Information

1. Stock Price and Trading Volume on the Tokyo Stock Exchange (April 1, 2012–March 31, 2013)



2. Major Shareholders (As of March 31, 2013)

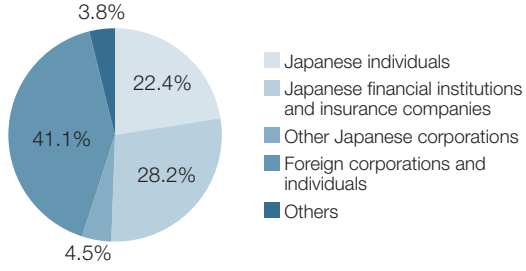
Name	Number of shares held (Thousands)	% of total outstanding shares
STATE STREET BANK AND TRUST COMPANY 505223	107,973	6.30%
Japan Trustee Services Bank, Ltd. (Trust Account)	74,540	4.34%
The Master Trust Bank of Japan, Ltd. (Trust Account)	58,290	3.40%
SSBT OD05 OMNIBUS ACCOUNT-TREATY CLIENTS	42,851	2.50%
Sumitomo Mitsui Banking Corporation	30,328	1.76%
STATE STREET BANK AND TRUST COMPANY	28,656	1.67%
BNY GCM CLIENT ACCOUNT JPRD AC ISG (FE-AC)	27,539	1.60%
MSCO CUSTOMER SECURITIES	26,961	1.57%
Japan Trustee Services Bank, Ltd. Sumitomo Trust Pension Account	24,888	1.45%
STATE STREET BANK AND TRUST CLIENT OMNIBUS ACCOUNT OM02	23,537	1.37%

Notes: 1. Treasury stock of 35,677,601 shares is excluded for calculating the percentage of the above list of major shareholders.
2. The Company holds its own shares as treasury stock (35,677 thousand shares) as of March 31, 2013 and those shares are excluded from the above list of major shareholders.

3. Stock Price on the Tokyo Stock Exchange (April 1, 2012–March 31, 2013)

Open	High	Low	Close	Average daily trading volume
¥331	¥692	¥235	¥656	12,768 thousand shares

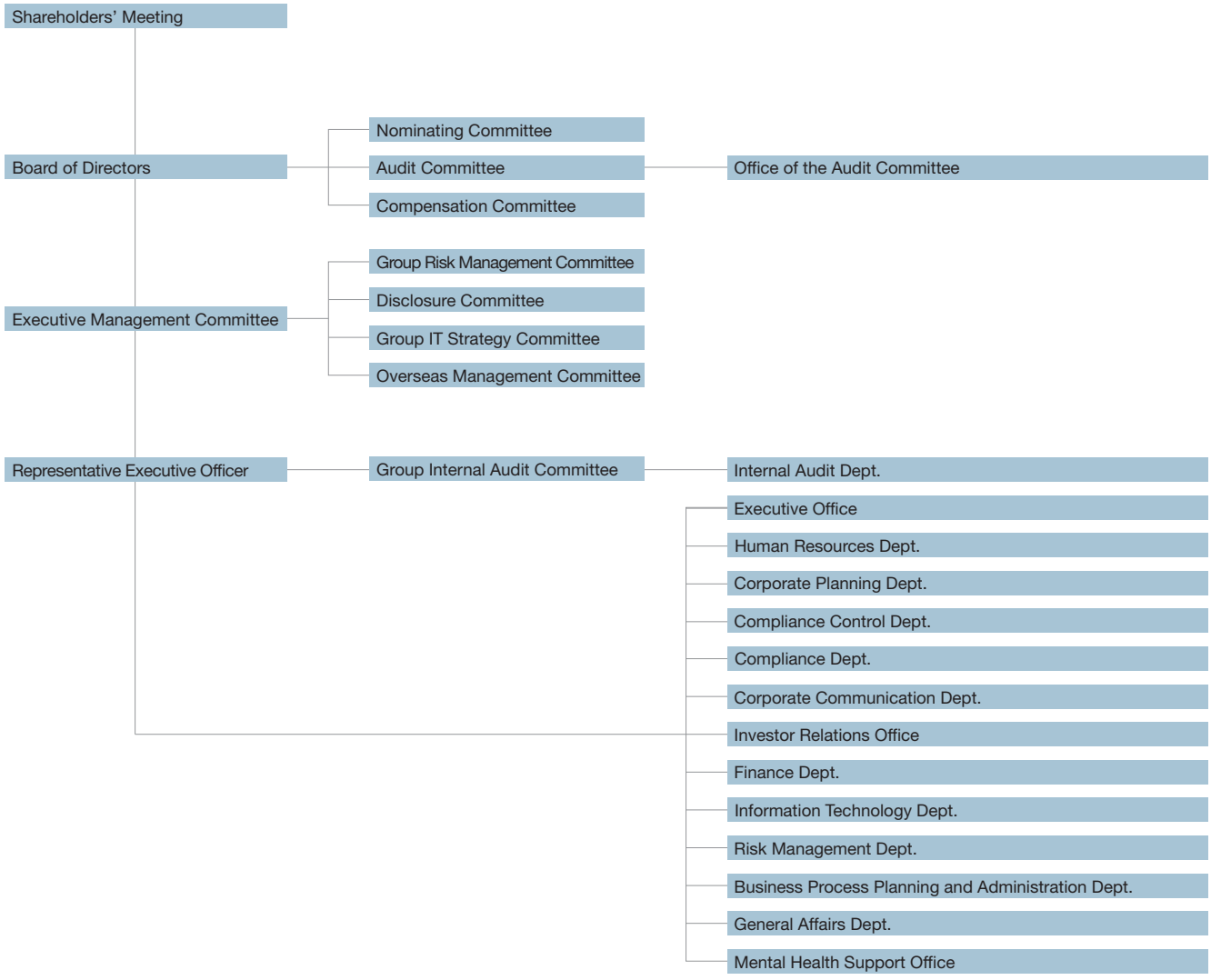
4. Breakdown of Shareholders (As of March 31, 2013)



Organization and Officers

Daiwa Securities Group Inc.

1) Organization (As of July 1, 2013)



2) Officers (As of July 1, 2013)

Directors

(Members of the Board)

Chairman of the Board
Shigeharu Suzuki

Director
Takashi Hibino

Director
Nobuyuki Iwamoto

Director
Akio Takahashi

Director
Yoriyuki Kusaki

Director
Saburo Jifuku

Director
Masaru Shirataki

Outside Director
Ryuji Yasuda

Outside Director
Nobuko Matsubara

Outside Director
Keiichi Tadaki

Outside Director
Kensuke Itoh

Outside Director
Hiroki Tsuda

Committee Members

Nominating Committee
Shigeharu Suzuki (Chairman)
Takashi Hibino
Ryuji Yasuda
Nobuko Matsubara
Keiichi Tadaki
Kensuke Itoh

Audit Committee
Keiichi Tadaki (Chairman)
Masaru Shirataki
Nobuko Matsubara
Hiroki Tsuda

Compensation Committee
Shigeharu Suzuki (Chairman)
Takashi Hibino
Ryuji Yasuda
Kensuke Itoh
Hiroki Tsuda

Corporate Executive Officers

President and CEO
Takashi Hibino

Deputy President and COO
Nobuyuki Iwamoto

Deputy President
Akio Takahashi

Deputy President
Yoriyuki Kusaki

Deputy President
Hiroshi Nakamura

Deputy President
Makoto Shirakawa

Deputy President
Takashi Fukai

Executive Managing Director and CRO
Saburo Jifuku

Executive Managing Director
Masaaki Goto

Executive Managing Director
Koichi Matsushita

Executive Managing Director
Toshihiro Matsui

Executive Managing Director
Toshihiko Onishi

Executive Managing Director and CFO
Mikita Komatsu

Senior Managing Director
Shigeharu Suzuki

Executive Officers

Senior Executive Managing Director
Osamu Abiru

Executive Managing Director
Keiko Tashiro

Executive Managing Director
Masahisa Nakagawa

Executive Managing Director
Tetsuo Akuzawa

Senior Managing Director
Hiroyuki Inose

Senior Managing Director
Hironori Oka

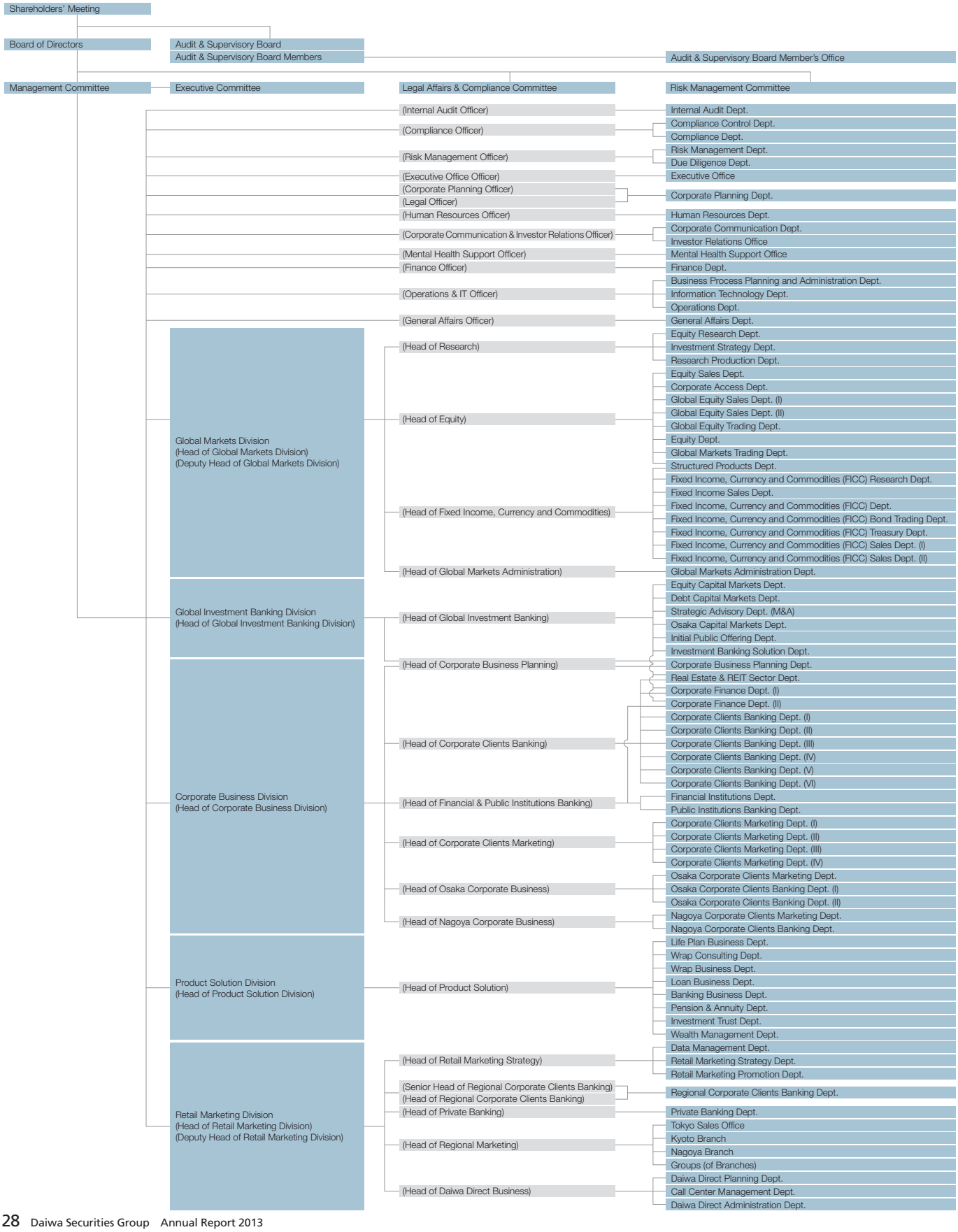
Senior Managing Director
Takayuki Sawano

Senior Managing Director
Yoshihisa Kaneko

Senior Managing Director
Atsushi Mochizuki

Daiwa Securities Co. Ltd.

1) Organization (As of July 1, 2013)



2) Officers (As of July 1, 2013)

Directors

(Members of the Board)

Chairman of the Board
Shigeharu Suzuki

President
Takashi Hibino

Deputy President
Nobuyuki Iwamoto

Deputy President
Akio Takahashi

Deputy President
Yoriyuki Kusaki

Deputy President
Hiroshi Nakamura

Representative Senior Executive Managing Director
Osamu Abiru

Senior Executive Managing Director
Seiji Nakata

Senior Executive Managing Director
Shinya Nishio

Senior Executive Managing Director
Yoshimasa Nagase

Senior Executive Managing Director
Kazuo Takahashi

Senior Executive Managing Director
Yosuke Sakai

Executive Managing Director
Hiroyuki Kasahara

Executive Managing Director
Junichi Aizawa

Executive Managing Director
Koichi Maruo

Executive Managing Director
Noriaki Kusaka

Corporate Auditors

Zenichiro Onishi

Kentaro Ito

Masaru Shirataki

Hiroshi Obayashi

Executive Officers

Executive Managing Directors
Saburo Jifuku

Koichi Matsushita

Toshihiro Matsui

Yuichi Akai

Kazuo Hayasaki

Toshihiko Onishi

Naoko Saito

Shinji Kawakami

Masahisa Nakagawa

Mikita Komatsu

Tadao Sakashima

Senior Managing Directors
Sumio Otsuka

Hiroyuki Inose

Kenji Imamura

Keisuke Natsume

Masahiro Kobayashi

Hiromi Uemura

Yoshinori Matsumoto

Sei Furuichi

Masataka Tsujimoto

Hiroyuki Nomura

Hiroshi Hara

Yasushi Iwasaki

Yoshihisa Kaneko

Atsushi Mochizuki

Naoto Shimomura

Domestic Group Companies

(As of July 1, 2013)

Daiwa Securities Co. Ltd.
GranTokyo North Tower
9-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-6752, Japan
Tel: (81) 3-5555-2111

Daiwa Asset Management Co. Ltd.
GranTokyo North Tower
9-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-6753, Japan
Tel: (81) 3-5555-3111

Daiwa Institute of Research Holdings Ltd.
15-6, Fuyuki, Koto-ku, Tokyo 135-8460, Japan
Tel: (81) 3-5620-5501

Daiwa SB Investments Ltd.
2-1, Kasumigaseki 3-chome, Chiyoda-ku, Tokyo 100-0013, Japan
Tel: (81) 3-6205-0200

Daiwa Securities Business Center Co. Ltd.
3-2, Toyo 2-chome, Koto-ku, Tokyo 135-0016, Japan
Tel: (81) 3-5633-6100

Daiwa Property Co., Ltd.
2-1, Kyobashi 1-chome, Chuo-ku, Tokyo 104-0031, Japan
Tel: (81) 3-5555-4700

Daiwa Next Bank, Ltd.
GranTokyo North Tower
9-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-6756, Japan
Tel: (81) 3-5555-6500

Daiwa Institute of Research Ltd.
15-6, Fuyuki, Koto-ku, Tokyo 135-8460, Japan
Tel: (81) 3-5620-5100

Daiwa Institute of Research Business Innovation Ltd.
1-14-5 Eitai, Koto-ku, Tokyo 135-0034, Japan
Tel: (81) 3-5931-8600

Daiwa Corporate Investment Co., Ltd.
GranTokyo North Tower
9-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-6756, Japan
Tel: (81) 3-5555-6300

Daiwa Securities SMBC Principal Investments Co. Ltd.
GranTokyo North Tower
9-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-6754, Japan
Tel: (81) 3-5555-6111

Daiwa PI Partners Co. Ltd.
GranTokyo North Tower
9-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-6730, Japan
Tel: (81) 3-5555-6001

Daiwa Fund Consulting Co. Ltd.
GranTokyo North Tower
9-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-6756, Japan
Tel: (81) 3-5555-6550

Daiwa Real Estate Asset Management Co. Ltd.
2-1, Ginza 6-chome, Chuo-ku, Tokyo 104-0061, Japan
Tel: (81) 3-6215-9500

Daiwa Pension Consulting Co., Ltd.
3-2, Toyo 2-chome, Koto-ku, Tokyo 135-0016, Japan
Tel: (81) 3-3615-7771

Daiwa Investor Relations Co. Ltd.
2-1, Kyobashi 1-chome, Chuo-ku, Tokyo 104-0031, Japan
Tel: (81) 3-5555-4111

Daiwa Securities Media Networks Co. Ltd.
GranTokyo North Tower
9-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-6751, Japan
Tel: (81) 3-5555-1175

Daiwa Office Management Co., Ltd.
GranTokyo North Tower
9-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-6756, Japan
Tel: (81) 3-5555-1400

Daiwa Sanko Co., Ltd.
5-9, Sotokanda 2-chome, Chiyoda-ku, Tokyo 101-0021, Japan
Tel: (81) 3-5256-4111

HINODE SECURITIES CO., LTD.
2-14, Awajicho 2-chome, Chuo-ku, Osaka-shi, Osaka 541-0047, Japan
Tel: (81) 6-6205-7711

Retela Crea Securities Co., Ltd.
1-6-11, Kayaba-cho, Nihonbashi, Chuo-ku, Tokyo 103-0025, Japan
Tel: (81) 3-3667-4411

Overseas Group Companies

(As of July 1, 2013)

Daiwa Securities Group Inc.

Daiwa Capital Markets America Inc.
New York Head Office
Financial Square, 32 Old Slip, New York, NY 10005, U.S.A.
Tel: (1) 212-612-7000

San Francisco Branch
555 California Street, Suite 3360, San Francisco, CA 94104, U.S.A
Tel: (1) 415-955-8100

Daiwa Capital Markets Europe Limited
London Head Office
5 King William Street, London EC4N 7AX, United Kingdom
Tel: (44) 20-7597-8000

Frankfurt Branch
Trianon Bldg., Mainzer Landstrasse 16, 60325 Frankfurt am Main,
Federal Republic of Germany
Tel: (49) 69-717080

Paris Representative Office
36, rue de Naples, 75008 Paris, France
Tel: (33) 1-56 26 22 00

Geneva Branch
50, rue du Rhône, P.O. Box 3198, 1211 Geneva 3, Switzerland
Tel: (41) 22-818 74 00

Bahrain Branch
Bahrain World Trade Centre, South Tower,
P.O. Box 30069, Manama, Kingdom of Bahrain
Tel: (973) 1753-4452

Moscow Representative Office
Midland Plaza, 10, Arbat Street,
Moscow 119002, Russian Federation
Tel: (7) 495-641-3416

Daiwa Capital Markets Hong Kong Limited
One Pacific Place, 88 Queensway, Hong Kong
Tel: (852) 2525-0121

Daiwa Capital Markets Singapore Limited
6 Shenton Way Tower Two, #26-08, Singapore 068809,
Republic of Singapore
Tel: (65) 6220-3666

Daiwa Capital Markets Australia Limited
Rialto North Tower, 525 Collins Street, Melbourne, Victoria 3000, Australia
Tel: (61) 3-9916-1300

Daiwa Capital Markets India Private Limited
3, North Avenue, Maker Maxity, Bandra-Kurla Complex,
Bandra East, Mumbai-400051, India
Tel: (91) 22-6622-1000

DBP-Daiwa Capital Markets Philippines, Inc.
Citibank Tower, 8741 Paseo de Roxas, Salcedo Village, Makati City,
Philippines
Tel: (632) 813-7344

Daiwa-Cathay Capital Markets Co., Ltd.
200, Keelung Road, Sec. 1, Taipei, Taiwan, R.O.C.
Tel: (886) 2-2723-9698

Daiwa Securities Korea
One IFC, 10 Gukjegeumyung-Ro, Yeouido-Dong, Yeongdeungpo-Gu,
Seoul, Korea
Tel: (82) 2-787-9100

Daiwa Corporate Advisory Limited (UK)
60 Threadneedle Street, London EC2R 8HP, United Kingdom
Tel: (44) 20-7856-0999

Daiwa Corporate Advisory GmbH (Germany)
Neue Mainzer Str. 1, 60311 Frankfurt am Main, Federal Republic of Germany
Tel: (49) 69-9720-040

Daiwa Corporate Advisory SAS (France)
36 rue de Naples, Paris 75008, France
Tel: (33) 1-4212-4900

Daiwa Corporate Advisory SLU (Spain)
Montalban 9, Madrid 28014, Spain
Tel: (34) 91-524-1123

Daiwa Securities Co. Ltd.

Beijing Representative Office
SK Tower, No. 6 Jia Jianguomen Wai Avenue, Chaoyang District,
Beijing 100022 P.R.C.
Tel: (86) 10-6500-6688

Bangkok Representative Office
M.Thai Tower, All Seasons Place, 87 Wireless Road, Lumpini, Pathumwan,
Bangkok 10330, Thailand
Tel: (66) 2-252-5654

Hanoi Representative Office
Pacific Place Building, 83B Ly Thuong Kiet Street, Hoan Kiem District,
Hanoi, Vietnam
Tel: (84) 4-3-946-0460

Daiwa SSC Securities Co., Ltd.
Hang Seng Bank Tower, 1000 Lujiazui Ring Road,
Pudong, Shanghai 200120 P.R.C.
Tel: (86) 21-3858-2000