

## Other Information

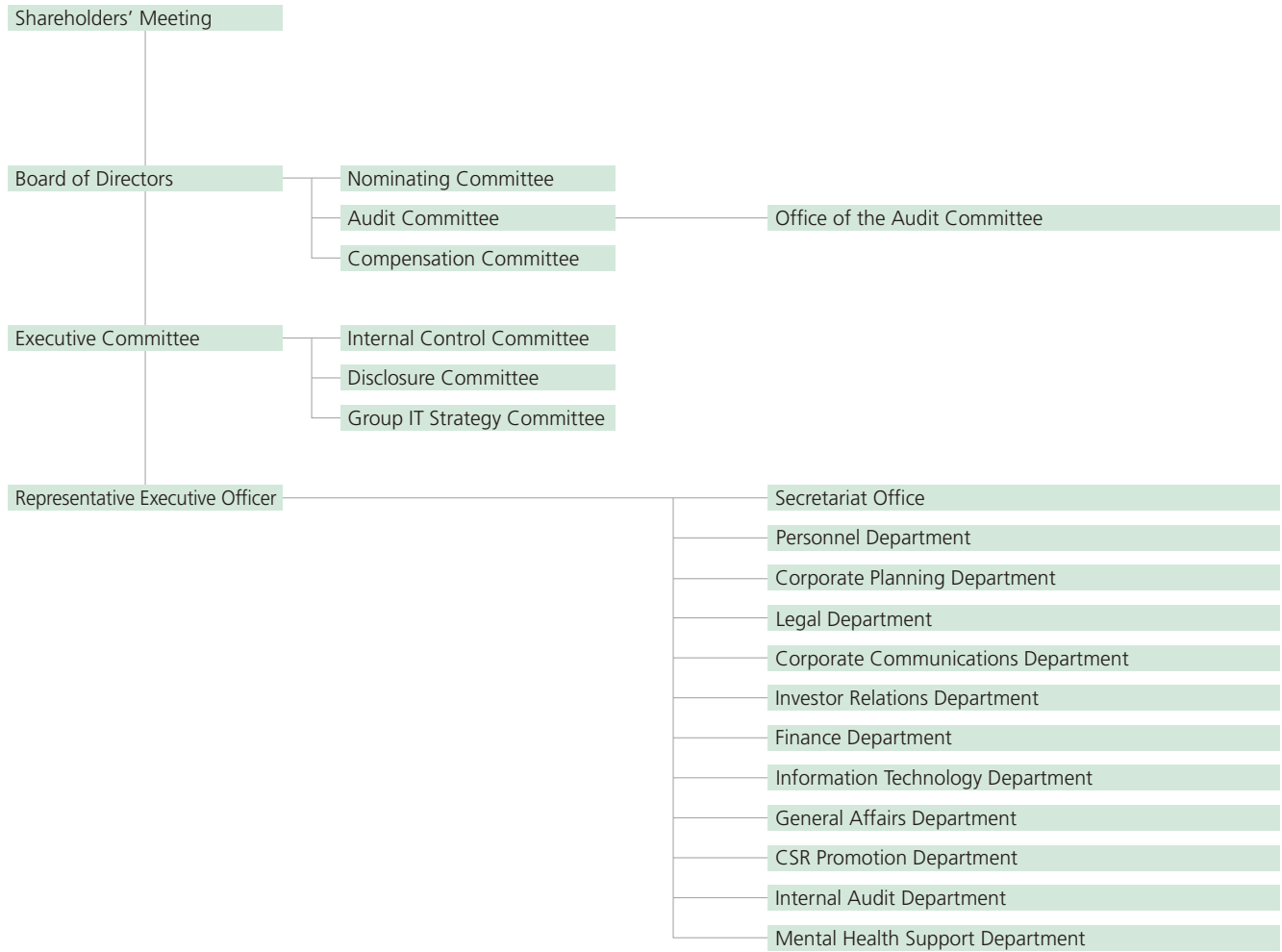
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- 118 Organization and Officers
  - 124 Domestic Group Companies
  - 125 Overseas Group Companies
  - 127 Daiwa Securities Group Inc. Corporate Data
-

# Organization and Officers

Daiwa Securities Group Inc.

1) Organization (As of July 1, 2009)



## 2) Officers (As of July 1, 2009)

## Directors



Chairman of the Board  
Akira Kiyota

Director  
Shigeharu Suzuki

Director  
Shin Yoshidome

Director  
Takashi Fukai

Director  
Takashi Hibino

Director  
Nobuyuki Iwamoto

Director  
Kazuo Oda

Director  
Seiji Nakata

Director  
Saburo Jifuku

Outside Director  
Tetsuro Kawakami

Outside Director  
Ryuji Yasuda

Outside Director  
Koichi Uno

Outside Director  
Nobuko Matsubara

Outside Director  
Keiichi Tadaki

## Committee Members



Nominating Committee  
Akira Kiyota (Chairman)  
Shigeharu Suzuki

Tetsuro Kawakami  
Ryuji Yasuda  
Nobuko Matsubara  
Keiichi Tadaki

Audit Committee  
Saburo Jifuku (Chairman)  
Koichi Uno  
Nobuko Matsubara  
Keiichi Tadaki

Compensation Committee  
Akira Kiyota (Chairman)  
Shigeharu Suzuki  
Tetsuro Kawakami  
Ryuji Yasuda  
Koichi Uno

## Executive Officers



President and CEO  
Shigeharu Suzuki  
Director, Daiwa Securities Group Inc.  
President, Daiwa Securities Co. Ltd.

Deputy President and COO  
(Representative)  
Shin Yoshidome  
Director, Daiwa Securities Group Inc.  
President, Daiwa Securities SMBC  
Co. Ltd.

Deputy President  
Takashi Fukai  
Director, Daiwa Securities Group Inc.  
Deputy President, Daiwa Securities  
Co. Ltd.

Deputy President  
Shuntaro Higashi  
Deputy President, Daiwa Securities  
SMBC Co. Ltd.

Deputy President  
Takashi Hibino  
Director, Daiwa Securities Group Inc.  
Deputy President, Daiwa Securities  
SMBC Co. Ltd.

Deputy President  
Makoto Shirakawa  
Deputy President, Daiwa Securities  
Co. Ltd.

Deputy President  
Toshiro Ishibashi  
President, Daiwa Asset Management  
Co. Ltd.

Deputy President  
Hiroshi Ota  
President, Daiwa Institute of Research  
Holdings Ltd.  
President, Daiwa Institute of  
Research Ltd.  
President, Daiwa Institute of Research  
Business Innovation Ltd.

Corporate Executive Vice  
President and CFO  
Nobuyuki Iwamoto  
Director, Daiwa Securities Group Inc.

Corporate Senior Executive  
Officer and CIO  
Kazuo Oda  
Director, Daiwa Securities Group Inc.  
Senior Managing Director, Daiwa  
Institute of Research Ltd.

Corporate Senior  
Executive Officer  
Seiji Nakata  
Director, Daiwa Securities Group Inc.

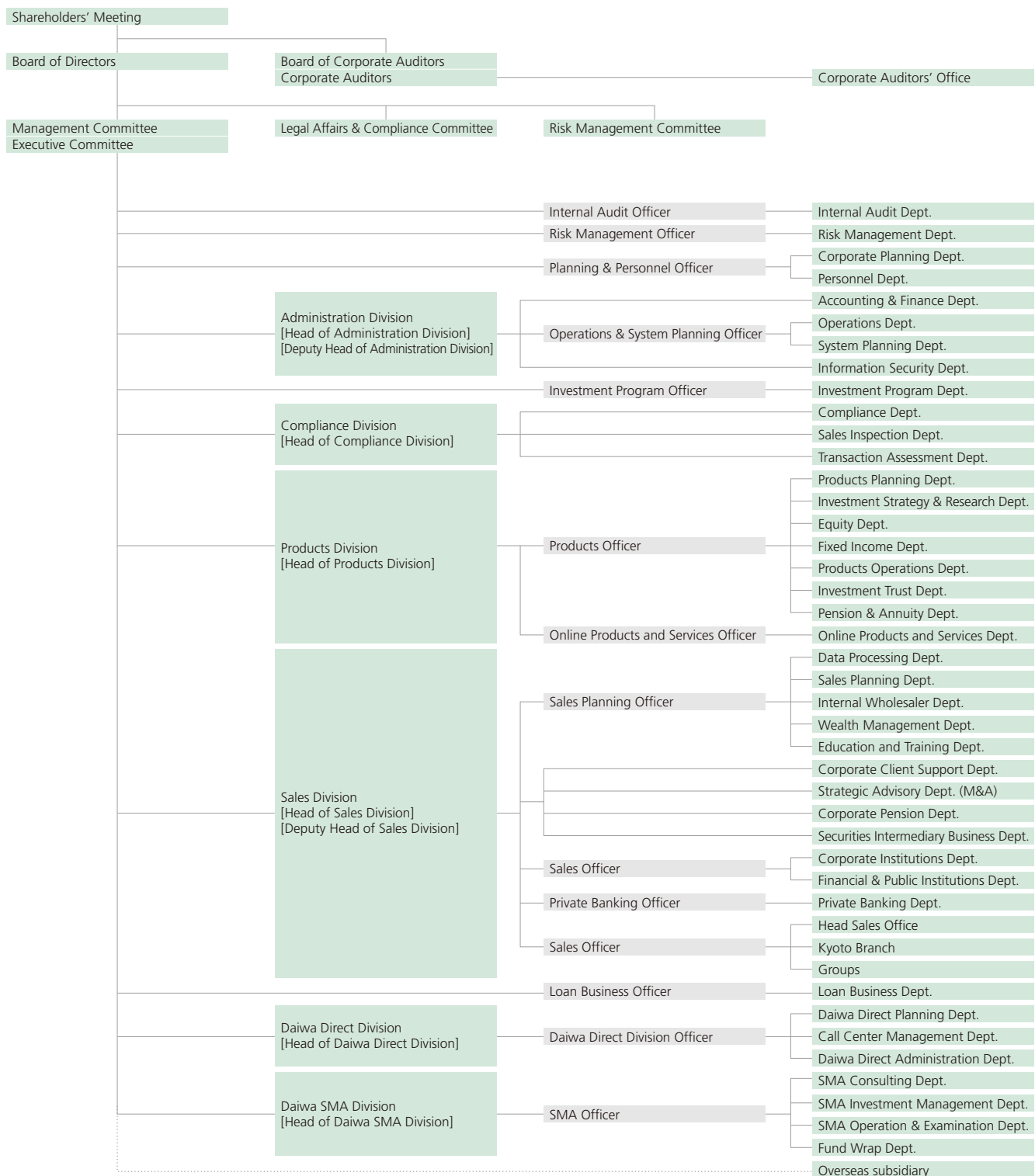
Corporate Executive Officer  
Masaaki Goto  
Chairman, Daiwa America Corporation

Corporate Executive Officer  
Keiko Uehara

Corporate Executive Officer  
Toshihiro Matsui

Corporate Executive Officer  
Akira Kiyota  
Chairman of the Board, Daiwa  
Securities Group Inc.

Daiwa Securities Co. Ltd.  
1) Organization (As of July 1, 2009)



## 2) Officers (As of July 1, 2009)

## Directors



President  
Shigeharu Suzuki

Deputy President  
Takashi Fukai

Deputy President  
Makoto Shirakawa

Representative Senior  
Managing Director  
Masaki Shimazu

Senior Managing Director  
Yoriyuki Kusaki

Senior Managing Director  
Yoshimi Murakami

Managing Director  
Koichi Suzuki

## Corporate Auditors



Shohei Takashima

Zenichiro Onishi

Saburo Jifuku

## Executive Officers



Senior Executive Officers  
Osamu Abiru

Hiroshi Nakamura

Keiji Komagata

Keiichi Sakai

Naofumi Idei

Ikuo Shoda

Hideto Sakao

Executive Officers  
Yosuke Sakai

Keiichi Takezaki

Koichi Matsushita

Hiroyuki Kasahara

Junichi Okura

Junichi Aizawa

Naoko Saito

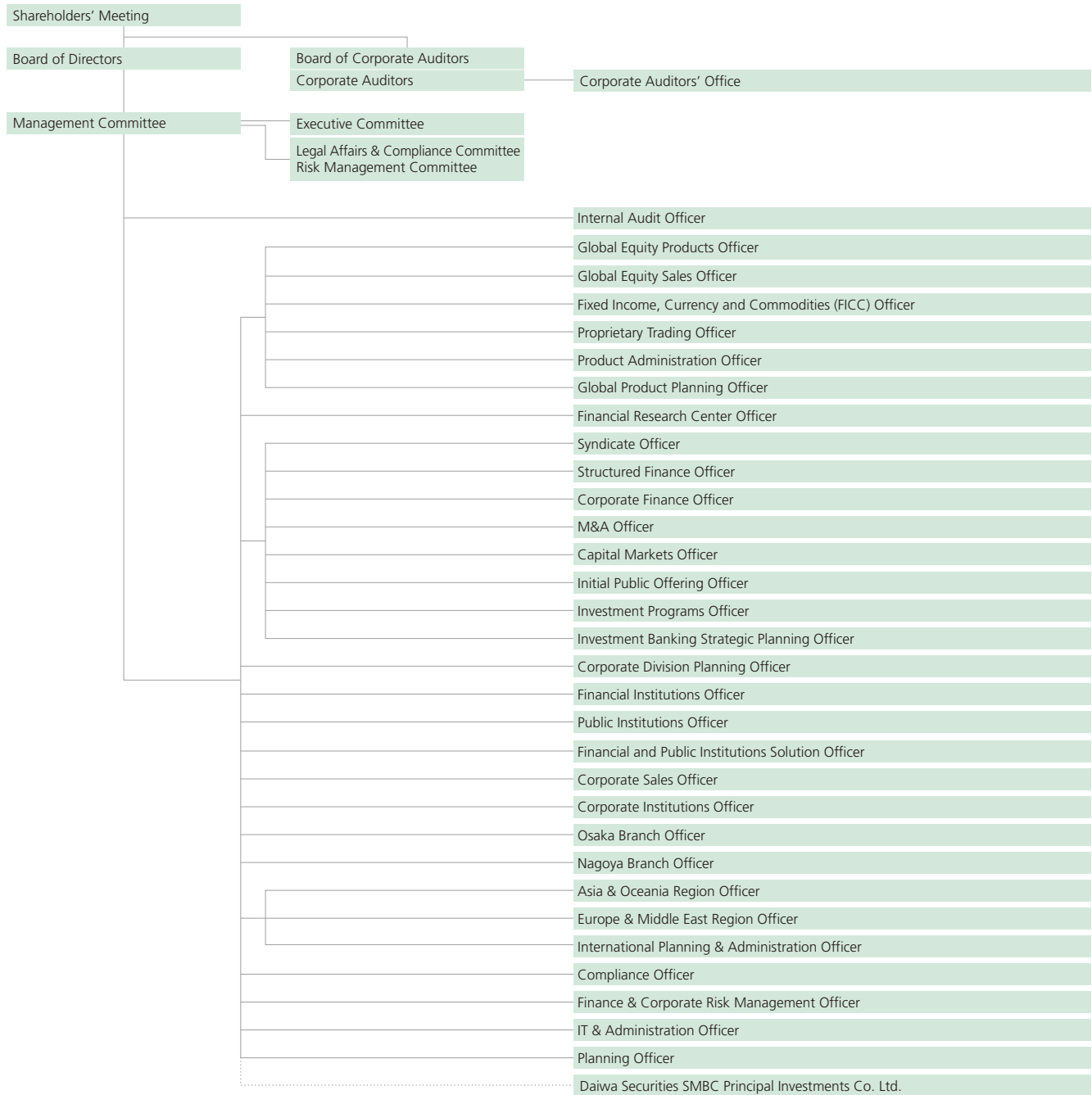
Kazuo Hayasaki

Noriaki Kusaka

Keiko Tashiro

## Daiwa Securities SMBC Co. Ltd.

### 1) Organization (As of August 24, 2009)



## 2) Officers (As of July 1, 2009)

## Directors



President  
Shin Yoshidome

Deputy President  
Shuntaro Higashi

Deputy President  
Takashi Hibino

Senior Managing Director  
Kazuhiko Akamatsu

Senior Managing Director  
Akio Takahashi  
Chairman, Daiwa Securities SMBC  
Principal Investments Co. Ltd.

Senior Managing Director  
Masamichi Yokoi  
President, Daiwa Securities SMBC  
Asia Holding B.V.

Managing Director  
Mitsuharu Tanaka

Managing Director  
Toshinao Matsushima

Managing Director  
Shigeo Koike

Director  
Tetsufumi Fujisawa

## Corporate Auditors



Shigeharu Tominaga

Satoshi Otsu

Shigeru Ishida

Yoshio Nagamoto

## Executive Officers



Senior Executive Officers  
Kenichi Miki

Motoi Takahashi

Takatoshi Wakabayashi

Eishu Kosuge  
Chairman, Daiwa Securities SMBC  
Europe Limited

Shinya Nishio

Shinya Kusaba

Yoshimasa Nagase

Executive Officers  
Yoshimasa Tsuneo

Hiroaki Saito

Kazuo Takahashi

Akira Inoue  
President, Daiwa Securities SMBC  
Principal Investments Co. Ltd.

Yoshio Urata

Akihiko Kanamura  
Chairman, Daiwa Securities SMBC  
Hong Kong Limited  
Chairman, Daiwa Securities SMBC-  
Cathay Co., Ltd.

Kunio Sato

Hisanobu Sonoda

Hisato Oiwa

Yuichi Akai  
Chairman, Daiwa Securities SMBC  
Singapore Limited  
Chairman, Daiwa Securities SMBC  
Futures Pte Ltd.  
Deputy Chairman, Daiwa Securities  
SMBC Hong Kong Limited

Toshihiko Onishi  
Deputy President, Daiwa Securities  
SMBC Principal Investments Co. Ltd.

Seiya Miyamoto

Takeaki Mori

Koichi Maruo

Kenji Kawamura

## Domestic Group Companies

(As of July 1, 2009)

### Daiwa Securities Co. Ltd.

GranTokyo North Tower  
9-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-6752, Japan  
Tel: (81) 3-5555-2111

### Daiwa Securities SMBC Co. Ltd.

GranTokyo North Tower  
9-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-6753, Japan  
Tel: (81) 3-5555-3111

### Daiwa Asset Management Co. Ltd.

10-5, Nihonbashi-Kayabacho 2-chome, Chuo-ku, Tokyo 103-0025, Japan  
Tel: (81) 3-5695-2111

### Daiwa Institute of Research Holdings Ltd.

15-6, Fuyuki, Koto-ku, Tokyo 135-8460, Japan  
Tel: (81) 3-5620-5501

### Daiwa Institute of Research Ltd.

15-6, Fuyuki, Koto-ku, Tokyo 135-8460, Japan  
Tel: (81) 3-5620-5100

### Daiwa SB Investments Ltd.

2-1, Kasumigaseki 3-chome, Chiyoda-ku, Tokyo 100-0013, Japan  
Tel: (81) 3-6205-0200

### Daiwa Securities Business Center Co. Ltd.

3-2, Toyo 2-chome, Koto-ku, Tokyo 135-0016, Japan  
Tel: (81) 3-5633-6100

### Daiwa Property Co., Ltd.

2-1, Kyobashi 1-chome, Chuo-ku, Tokyo 104-0031, Japan  
Tel: (81) 3-5555-4700

### Daiwa SMBC Capital Co., Ltd.

8-10, Kudankita 1-chome, Chiyoda-ku, Tokyo 102-0073, Japan  
Tel: (81) 3-6910-2600

### Daiwa Institute of Research Business Innovation Ltd.

36-2 Hakozaiki-cho, Nihonbashi, Chuo-ku, Tokyo 103-0015, Japan  
Tel: (81) 3-5931-8600

### Daiwa Securities SMBC Principal Investments Co. Ltd.

GranTokyo North Tower  
9-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-6754, Japan  
Tel: (81) 3-5555-6111

### Daiwa Securities Loan Co., Ltd.

3-2, Toyo 2-chome, Koto-ku, Tokyo 135-0016, Japan  
Tel: (81) 3-5683-9589

### Daiwa Fund Consulting Co. Ltd.

6-1, Otemachi 2-chome, Chiyoda-ku, Tokyo 100-0004, Japan  
Tel: (81) 3-6225-3200

### Daiwa Real Estate Asset Management Co. Ltd.

2-1, Ginza 6-chome, Chuo-ku, Tokyo 104-0061, Japan  
Tel: (81) 3-6215-9500

### Daiwa Pension Consulting Co., Ltd.

3-2, Toyo 2-chome, Koto-ku, Tokyo 135-0016, Japan  
Tel: (81) 3-3615-7771

### Daiwa Investor Relations Co. Ltd.

2-1, Kyobashi 1-chome, Chuo-ku, Tokyo 104-0031, Japan  
Tel: (81) 3-5555-4111

### Daiwa Securities Media Networks Co. Ltd.

GranTokyo North Tower  
9-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-6751, Japan  
Tel: (81) 3-5555-1175

### Daiwa Office Management Co., Ltd.

GranTokyo North Tower  
9-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-6756, Japan  
Tel: (81) 3-5555-1400

### Daiwa Sanko Co., Ltd.

5-9, Sotokanda 2-chome, Chiyoda-ku, Tokyo 101-0021, Japan  
Tel: (81) 3-5256-4111

### HINODE SECURITIES CO., LTD.

2-14, Awajicho 2-chome, Chuo-ku, Osaka-shi, Osaka 541-0047, Japan  
Tel: (81) 6-6205-7711

### DIR System Management Co., Ltd.

14-6, Eitai 1-chome, Koto-ku, Tokyo 135-8461, Japan  
Tel: (81) 3-3820-3295

### DIR System Technology Co., Ltd.

14-5, Fuyuki, Koto-ku, Tokyo 135-0047, Japan  
Tel: (81) 3-5621-4140

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## Overseas Group Companies

(As of July 1, 2009)

### Daiwa Securities Group Inc.




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#### Daiwa Securities America Inc.

Head Office  
Financial Square, 32 Old Slip, New York, NY 10005, U.S.A.  
Tel: (1) 212-612-7000

San Francisco Branch  
555 California Street, Suite 3360, San Francisco, CA 94104, U.S.A.  
Tel: (1) 415-955-8100

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#### Daiwa Securities Trust and Banking (Europe) PLC

5 King William Street, London EC4N 7JB, United Kingdom  
Tel: (44) 20-7320-8000

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#### Daiwa Securities Trust Company

One Evertrust Plaza, Jersey City, NJ 07302, U.S.A.  
Tel: (1) 201-333-7300

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#### Daiwa Europe Fund Managers (Ireland) Ltd.

Level 3, Block 5, Harcourt Centre, Harcourt Road, Dublin 2, Ireland  
Tel: (353) 1-603-9900

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#### Daiwa Europe Trustees (Ireland) Ltd.

Level 3, Block 5, Harcourt Centre, Harcourt Road, Dublin 2, Ireland  
Tel: (353) 1-603-9900

### Daiwa Securities SMBC Co. Ltd.




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#### Daiwa Securities SMBC Europe Limited

Head Office  
5 King William Street, London EC4N 7AX, United Kingdom  
Tel: (44) 20-7597-8000

Frankfurt Branch  
Trianon Bldg., Mainzer Landstrasse 16, 60325 Frankfurt am Main,  
Federal Republic of Germany  
Tel: (49) 69-717080

Paris Branch  
112, Avenue Kléber, 75116 Paris, France  
Tel: (33) 1-56-26-22-00

Geneva Branch  
50, rue du Rhône, P.O. Box 3198, 1211 Geneva 3, Switzerland  
Tel: (41) 22-818-74-00

Milan Branch  
Via Senato 14/16, 20121 Milan, Italy  
Tel: (39) 02-763271

Bahrain Branch  
7th Floor, The Tower, Bahrain Commercial Complex, P.O. Box  
30069, Manama, Bahrain  
Tel: (973) 1753-4452

Dubai Branch  
The Gate Village Building 1, 1st Floor, Unit 6, Dubai International  
Financial Centre, P.O. Box 506657, Dubai, UAE  
Tel: (971) 4-7090401

Moscow Representative Office  
25/9, build. 1, Per. Sivtsev Vrazhek, Moscow 119002, Russian  
Federation  
Tel: (7) 495-617-1960

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#### Daiwa Securities SMBC Hong Kong Limited

26th Floor, One Pacific Place, 88 Queensway, Hong Kong  
Tel: (852) 2525-0121

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#### Daiwa Securities SMBC Singapore Limited

6 Shenton Way #26-08, DBS Building Tower Two, Singapore  
068809, Republic of Singapore  
Tel: (65) 6220-3666

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#### Daiwa Securities SMBC Australia Limited

34th Floor, Rialto North Tower, 525 Collins Street, Melbourne,  
Victoria 3000, Australia  
Tel: (61) 3-9916-1300

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#### Daiwa Securities SMBC India Private Limited

10th Floor, 3 North Avenue, Maker Maxity, Bandra Kurla Complex,  
Bandra East, Mumbai 400051, India  
Tel: (91) 22-6622-1000

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#### DBP-Daiwa Securities SMBC Philippines, Inc.

18th Floor, Citibank Tower, 8741 Paseo de Roxas, Salcedo Village,  
Makati City, Republic of the Philippines  
Tel: (632) 813-7344

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#### Daiwa Securities SMBC-Cathay Co., Ltd.

14th Floor, 200, Keelung Road, Sec.1, Taipei, Taiwan, R.O.C.  
Tel: (886) 2-2723-9698

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#### Daiwa SMBC-SSC Securities Co., Ltd.

15th Floor, Aurora Plaza, 99 Fucheng Road, Pudong, Shanghai,  
People's Republic of China 200120  
Tel: (86) 21-6859-8000

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#### Seoul Branch

6th Floor, DITC Building, #27-3, Youido-dong, Yongdungpo-gu,  
Seoul, Republic of Korea  
Tel: (82) 2-787-9100

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#### Beijing Representative Office

Room 3503/3504, SK Tower, No. 6 Jia Jianguomen Wai Avenue,  
Chaoyang District, Beijing, People's Republic of China 100022  
Tel: (86) 10-6500-6688

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#### Bangkok Representative Office

18th Floor, M. Thai Tower, All Seasons Place, 87 Wireless Road,  
Lumpini, Pathumwan, Bangkok 10330 Thailand  
Tel: (66) 2-252-5650

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#### Hanoi Representative Office

Suite 405, Pacific Place Building, 83B Ly Thuong Kiet Street,  
Hanoi, Vietnam  
Tel: (84) 4-3-946-0460

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## Daiwa Securities Group Inc. Corporate Data

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### Head Office

GranTokyo North Tower  
9-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-6751,  
Japan  
Tel: (81) 3-5555-1111

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### Website

<http://www.daiwa-grp.jp/ir/english/>

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### Commencement of Operations

May 1, 1902

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### Date of Founding

December 27, 1943

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### Common Stock

Issued and Outstanding  
1,404,664 thousand shares  
(as of March 31, 2009)

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### Number of Shareholders

100,393 (as of March 31, 2009)

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### Independent Public Accountant

KPMG AZSA & Co.

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### Stock Exchange Listings

Tokyo, Osaka, Nagoya

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### Transfer Agent and Registrar

The Sumitomo Trust and Banking Company, Limited  
Stock Transfer Agency Department  
3-1, Yaesu 2-chome, Chuo-ku,  
Tokyo 104-8476, Japan

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For further information, please contact: Daiwa Securities Group Inc.  
Investor Relations

Tel: (81) 3-5555-1300  
Fax: (81) 3-5555-0661  
Email: [ir-section@dsgi.daiwa.co.jp](mailto:ir-section@dsgi.daiwa.co.jp)  
URL: <http://www.daiwa-grp.jp/ir/english/>