

## Daiwa Securities Group Profile

### Corporate Data

- **Name**  
Daiwa Securities Group Inc.
- **Head Office**  
GranTokyo North Tower  
9-1, Marunouchi 1-chome, Chiyoda-ku,  
Tokyo 100-6751, Japan
- **Tel**  
(81) 3-5555-1111
- **Established**  
December 27, 1943 (Founded 1902)
- **President and CEO**  
Takashi Hibino
- **Capital**  
¥247.3 billion
- **Number of Employees (consolidated)\*1**  
14,432
- **Number of consolidated subsidiaries**  
60
- **Number of equity-method affiliates**  
5
- **Shares issued and outstanding**  
1,749,378,772

(As of April 1, 2012)

\*1 As of March 31, 2012

\*2 As of June 30, 2012

### Description of the Daiwa Securities Group's Businesses

The Daiwa Securities Group is a comprehensive financial services firm with a strong domestic base nationwide and a global network of operating offices covering 20 countries and regions. The primary businesses at the core of the Group are Retail, Wholesale, and Asset Management. The Group offers expert advice and service to meet customer's diverse needs.

#### 1. Retail Division

Through its domestic network of 120 branches\*2, a call center, and the Internet, Daiwa Securities offers two retail services, Daiwa Consulting and Daiwa Direct, which provide a wide range of financial products and services as well as support for customer asset management, mainly for individuals and unlisted companies.

#### 2. Global Markets Division

This business, engaged in sales and trading, provides brokerage of securities and other financial products mainly for institutional investors.

#### 3. Global Investment Banking Division

This business offers support for corporations and financial institutions in business expansion to finance and capital strategies, by assisting financing activities.

#### 4. Asset Management Division

This business creates and manages stock, bond, and private investment trusts, and provides other investment management services for real estate investment trusts, pensions, and other funds.

#### 5. Investment Division

This business invests in areas such as private equity, venture capital opportunities, business regeneration, monetary receivables, and real estate using its own funds or through the formation of investment funds.

#### 6. Others

The Daiwa Securities Group provides information via research reports and other documents, management consulting, IT services, and other offerings to institutional investors, corporate clients, financial institutions, and public organizations in Japan and overseas.

