

## Editorial Policy

The Daiwa Securities Group has been publishing this report annually since 2002 with the aim of clearly disclosing our CSR activities, which are intended to support the creation of a sustainable society. We also use this opportunity to evaluate the company's current status and the challenges it is facing.

The 2011 CSR Report focuses on SRI because we wish to convey to many stakeholders that the way money is used is key to resolving society's problems.

An important objective of this report is to prompt awareness, thought, and action among stakeholders reading the report to cause money to be channeled to creating a sustainable society in order to resolve problems such as global warming and poverty.

We strive to provide accurate, easily understood information by publishing the information we would most like to convey in the Highlight Edition and comprehensive information based on the guidelines of the global reporting initiative (GRI)\* on our website to ensure accountability.

\* GRI (Global Reporting Initiative): An organization dedicated to proposing and promoting common international guidelines for sustainability reports.

## Scope of Report

### Intended Readership

This report is intended for Daiwa Securities Group's stakeholders, which primarily include customers, shareholders and investors, executives and employees, as well as business partners, government agencies, non-profits (NPOs, NGOs), local communities where Daiwa Securities has offices, educational institutions, and the families of executives and employees.

### Scope of Reporting

This report basically covers the principal companies of the Daiwa Securities Group (see page 29). The report does not, however, include all data from the Group's principal companies on items reported; the information is selected based on the respective companies' size and type of business. Each set of numerical data specifies the scope of coverage.

### Period Covered by Report

Fiscal 2010 (April 1, 2010 to March 31, 2011). The report also includes information on events and activities carried out prior to fiscal 2009, as well as those scheduled to take place during fiscal 2011.

### Date of Publication

August 2011 (The previous report was published in August 2010; the next report is planned for August 2012).

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## CSR Report 2011 Highlight Edition

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More detailed information is published in the full edition on our website. Please see page 30 for details  
<http://www.daiwa-grp.jp/english/csr/report/2011/>