

CSR Milestones at the Daiwa Securities Group

2010

May	Ecology Bonds offered, supporting renewable energy and energy efficiency projects in the fight against climate change.
	Daiwa Securities sponsors "Design to Change the World Exhibition-Imagine another life through products."
	Two Daiwa panelists participate in the TBLI Conference Asia 2010.
April	Water Bonds offered, supporting water-related projects in Asia and the Pacific Region.
March	Third round of Vaccine Bonds offered.
	"Daiwa Water Forum 2010" held.
	Daiwa Institute of Research holds seminar on management strategy research, "Society, Economy and Corporate Management in Low Carbon Era—How to Face the Change on a Global Scale."
February	Green World Bank Bonds offered, supporting projects addressing the challenge of climate change.
	Daiwa becomes a promotion partner in Cancer Screening Corporate Action, a national project.
January	Daiwa signs the United Nations Global Compact (GC).

2009

December	Nikkei Childcare Support Award from <i>Nihon Keizai Shimbun</i> received.
November	Microfinance Bonds offered, a first in Japan leveraging bond investment to help reduce poverty.
	Excellence award in the organizational activity category in the Third Work-life Balance Grand Award received.
October	Ministry of Health, Labor and Welfare Award in the category of corporate promotion of equality and work-life balance received.
	Global Festa Japan 2009, a global cooperation event themed on environmental and climate change issues, co-sponsored.
	"Daiwa Microfinance Forum 2009: Breaking out of Poverty and Developing Local Communities" held.
September	Daiwa ranked 7th overall, and 1st in the securities industry, in the 2009 survey of Companies with Best Working Conditions by <i>Nihon Keizai Shimbun</i> and <i>Nihon Keizai Sangyo Shimbun</i> , and ranked 3rd in the category of "best workplaces for working parents."
	Daiwa included in the SRI index, Dow Jones Sustainability World Indexes (DJSI World) for the 11th consecutive year.
July	Daiwa Asset Management participates in the Carbon Disclosure Project (CDP) as a member.

May	China Charity Award received from the All-China Women's Federation, the parent group of the China Children and Teenagers' Fund (CCTF), for the Group's contributions to remediate damage from the major earthquake in Sichuan, China.
	Panelist from the Group participates in the TBLI Conference Asia 2009.
April	Group's new Medium-Term Management Plan, "Passion for the Best" 2011, announced.
	Daiwa Childcare and Nursing Support Desk established for Group employees to consult on childcare and nursing care.
	<i>Daiwa Securities Group Sustainability Report 2008</i> wins the prize for excellence in the sustainability report category awarded by Toyo Keizai Inc.
February	Second round of Vaccine Bonds offered.
	Next-Generation Environmental Business Fund established, offering investments in Japanese companies that have environmental technologies.
January	Japan Council on Economic Education (JCEE) established, with Daiwa as the main sponsor.

2008

December	Daiwa Energy Technology Fund (limited open-type fund) established.
October	Daiwa Securities Business Center Co. Ltd. wins the Leading Office for Hiring People with Disabilities Prize in fiscal 2008 at the Award Ceremony for Excellence in Employment of People with Disabilities Association held by the Kiba branch of the Employment Development for Citizens, Tokyo.
September	Daiwa ranked 14th, and 1st in the securities industry, in Nihon Keizai Shimbun's 2008 survey of Companies with Best Working Conditions, the highest ranking to date.
August	Family workplace visits take place throughout the Group.
July	About ¥24 million contributed for the construction of an elementary school in southern Gansu Province to support the recovery efforts in the region affected by the major earthquake in Sichuan, China.
	¥6 million contributed to the community chests of Iwate and Miyagi prefectures to contribute to Iwate-Miyagi Inland Earthquake disaster relief efforts.
June	Daiwa obtains the Certified Family-friendly Employer designation established by the Ministry of Health, Labour and Welfare.
	World CO2L Bonds, USD-denominated bonds with coupons linked to emissions prices, offered.
May	Endowed lectures begin at Peking University.
	Daiwa agrees to support commercialization of iPS cell research at Kyoto University.
April	Daiwa Securities Group Asian Student Scholarship Fund created with the University of Tokyo.
	Russell Global Environmental Technology Fund established, offering investments in global companies with a focus on technology working in the environmental sector.
	Work-life Balance Promotion Committee and Work-life Balance Promotion Department established.
	Daiwa participates in the Ministry of Health, Labour and Welfare's model project promoting work-life balance.
	Daiwa ranked 7th in Nikkei Woman's ranking of the Best 100 Companies for Women to Work for in Japan, the highest ranking in the securities industry, and ranked 1st for utilization of women.
March	Vaccine Bonds offered, a first in Japan.
	"Vaccine Bond Supporting Immunization for the Children That Are Our Future" seminar held.
	"Daiwa Spirit" formulated, expressing the Group's basic philosophy and attitude to deepen employees' mutual understanding and ensure that all Group employees share the same values.

February	Daiwa Securities Group Inc. participates as a special sponsor in a global environment symposium held jointly by the Tokyo office of the United Nations Development Programme (UNDP), the World Bank and Conservation International Japan (CI Japan).
	Daiwa Securities SMBC and the Daiwa Institute of Research, Ltd. hold the "Eco-innovation: What Industry Needs to Do on Global Warming Issues and Creating a Low-carbon Society" seminar.

2007

November	Daiwa CI Biodiversity Conservation Fund (DCBCF) established in cooperation with the NGO Conservation International (CI) as part of the World Heritage Local Entrepreneurship Program (WH-LEEP).
September	Japanese government issues authorization to Daiwa to undertake four projects to reduce the emission of greenhouse gases in China.
August	Daiwa Asset Management establishes the Global Environment Foreign Bond Balance Fund and the Global Environment Equity Fund.
July	"Cool Biz" policy (open collars and shirtsleeves in the office) implemented group-wide as an initiative to combat global warming.
May	Environmental seminar for customers held titled "Daiwa Environmental Forum 2007: What Is Happening to the Earth Now?"
April	<i>Daiwa Securities Group Sustainability Report 2006</i> wins the Excellence Prize in the sustainability report category awarded by Toyo Keizai Inc.
	Holds CSR seminar for individual investors titled "New Trends in Investing: The Search for Sustainable Growth Companies."
February	<i>Daiwa Securities Group Sustainability Report 2006</i> wins the Sustainability Report Excellence Prize (the President's Award) awarded by the Global Environmental Forum.
January	The Daiwa Securities Group joins the Global 100 (the world's 100 most sustainable companies).
	Daiwa attends World Economic Forum (WEF) in Davos, Switzerland.

2006

November	<i>Daiwa Securities Group Sustainability Report 2005</i> . ranked 34th (1st in rankings for Japanese companies) in the Global Reporters 2006, an international comparative survey.
October	Daiwa presents at the Business School pavilion as a co-sponsor of KidZania Tokyo.
	Daiwa-JFS Youth Sustainability College opened with environmental NPO Japan for Sustainability (JFS) as a grant program of the Daiwa Eco Fund.
September	Daiwa included for the first time in the FTSE4Good, an index of socially responsible companies (included every year since, as of March 2010 review).
May	Daiwa Asset Management Co. Ltd. signs Principles for Responsible Investment (PRI).
April	New Medium-term Management Plan, "Passion for the Best 2008," announced, including the Group's basic CSR strategy.
	<i>Daiwa Securities Group Sustainability Report 2005</i> wins the Excellence Prize in the sustainability report category awarded by Toyo Keizai Inc.

February	CO2L Bonds issued.
	Daiwa Eco Fund established.
January	<i>Daiwa Securities Group Sustainability Report 2005</i> wins 9th Sustainability Report First Prize (Minister of the Environment Award) awarded by the Global Environmental Forum.

2005

November	Daiwa SRI Fund Assistance Program launched to build capacity of NPO staff.
October	Daiwa Securities begins the Daiwa e-members service, enabling customers to request electronic transaction reports.
July	Daiwa Asset Management participates in a GRI G3 project working group to study the usefulness of sustainability reporting from the perspective of finance and investors.
June	Support provided to Finance Park, a hands-on economics education program offered by Junior Achievement Japan.
	Support project for women entrepreneurs launched.
February	Decision taken to offer long-term support for areas devastated by the Sumatra Earthquake and Indian Ocean Tsunami (Daiwa Securities Group Tsunami Reconstruction Fund).
	Daiwa Securities begins Electronic Statement Promotion Project.

2004

November	Daiwa joins the United Nations Environmental Programme Finance Initiative (UNEP FI).
October	SRI fund adopted for Daiwa Securities Group employees' defined-contribution pension plans.
July	CSR Promotion Department established at Daiwa Securities Group Inc.
June	Committee-based corporate governance introduced.
May	Daiwa SRI Fund established, creating a vehicle for socially responsible investment (SRI) in Japanese companies.
March	Disclosure policy established.
	Daiwa Securities Group Inc. becomes a signatory to the Carbon Disclosure Project (CDP).
January	CSR/brand management website launched.

2003

November	Daiwa joins Social Investment Forum-Japan (SFI-Japan).
October	Support begins for the Student Company Program, an experiment-based economic education program offered by Junior Achievement Japan.
	UBS Global Stocks 40 investment trust introduced, creating a vehicle for socially responsible investment (SRI) in global companies.
July	Daiwa Securities SMBC Principal Investments invests in the World Bank's Community Development Carbon Fund.

June	Full compensation to directors and auditors of Daiwa Securities Group Inc. disclosed.
April	New shareholder benefits program introduced.
March	New Group medium-term management plan (fiscal 2003-2005) announced.
February	Management Audit Department established at Daiwa Securities Group Inc.
January	Group-wide Corporate Ethics Hotline introduced.