

Third-party Evaluations

Dow Jones Sustainability Indexes
Member 2008/09



The Dow Jones Sustainability World Index (DJSI World) is a stock index of companies with sustainable practices selected by Dow Jones & Company, Inc. of the United States and Sustainable Asset Management (SAM) of Switzerland. Daiwa Securities Group Inc. has been included in DJSI World for ten consecutive years.

FTSE4Good Index



FTSE is a subsidiary of the UK's Financial Times and the London Stock Exchange. It develops global indexes and provides data for investment. Daiwa Securities Group Inc. has been included in the FTSE4Good, an index of socially responsible companies compiled by FTSE, since September 2006.

Certified Family-friendly Employer



Certification symbol for family-friendly employers based on the Ministry of Health, Labour and Welfare's Law for Measures to Support the Development of the Next Generation. In addition to Daiwa Securities Group Inc. obtaining certification as a family-friendly employer in fiscal 2008, Daiwa Securities Co. Ltd., Daiwa Securities SMBC Co. Ltd., Daiwa Institute of Research Holdings Ltd., Daiwa Institute of Research Ltd., Daiwa Institute of Research Business Innovation Ltd. and Daiwa Securities Business Center Co. Ltd. also received this certification.

Daiwa Securities Group CSR Report 2009 Booklet and Web Edition

Daiwa Securities Group CSR Report 2009 discloses information in a booklet and on its website in accordance with the policies below.

● Booklet

Produced in a format that narrows the focus on key issues and presents contents in an easy-to-understand and readable format

● Website

Reports a broad range of Daiwa Securities Group activities, emphasizing content comprehensiveness/continuity, and discloses management, social, economic and environmental load reports

Online versions of these documents will be made available on the Group website in September 2009.

<http://www.daiwa-grp.jp/ir/english/corporate/report/>
(from September 2009)



Topics presented on the website edition only

Management Report

- Corporate Governance
- CSR Management
- Compliance
- Information Disclosure

Social Report

- Customer Satisfaction
- Respect for People and Human Resource Utilization
- Corporate Citizenship

Environmental Report

- Environmental Impact of the Daiwa Securities Group

Economic Report

- Distribution of Economic Value to Stakeholders

Excerpts from GRI Guidelines