

# The Daiwa Securities Group's CSR Activities

2004	
July	Daiwa Securities Group Inc. establishes the CSR Promotion Department.
Nov.	Becomes a member in the United Nations Environmental Programme Finance Initiative (UNEP FI).
2005	
Feb.	Decides to offer long-term support for areas devastated by the Sumatra earthquake and Indian Ocean tsunami (Daiwa Securities Group Tsunami Reconstruction Fund). Daiwa Securities Co. Ltd. begins Electronic Statement Promotion Project.
June	Provides support to Finance Park, a hands-on economics education program offered by Junior Achievement Japan. Begins support project for women entrepreneurs.
July	Daiwa Asset Management Co. Ltd. participates in a GRI G3 project working group to study the usefulness of sustainability reporting from the perspective of finance and investors.
Oct.	Daiwa Securities Co. Ltd. begins the Daiwa e-members service, through which customers can ask to receive electronic transaction reports.
Nov.	Begins the Daiwa SRI Fund Assistance Program to support NPO staff.
2006	
Jan.	The <i>Daiwa Securities Group Sustainability Report 2005</i> wins the Sustainability Report First Prize (Minister of the Environment Award) awarded by the Global Environmental Forum.
Feb.	Develops Euro-dollar Bonds with Emissions Credit Value Referenced Coupons (CO2L [Cool] Bonds). Begins sales of the Daiwa Eco Fund.
Apr.	Announces new Medium-term Management Plan, "Passion for the Best 2008," which includes the Group's basic CSR strategy. The <i>Daiwa Securities Group Sustainability Report 2005</i> wins the Excellence Prize in the sustainability report category awarded by Toyo Keizai Inc.
May	Daiwa Asset Management Co. Ltd. signs the Principles for Responsible Investment (PRI), jointly created by the United Nations Environment Programme Finance Initiative (UNEP FI) and the UN Global Compact.
Oct.	Opens Daiwa-JFS Youth Sustainability College with environmental NPO Japan for Sustainability (JFS) as a grant program of the Daiwa Eco Fund.
Nov.	The <i>Daiwa Securities Group Sustainability Report 2005</i> ranked 34th (first in rankings for Japanese companies) in the Global Reporters 2006, an international comparative survey.
2007	
Jan.	The Daiwa Securities Group makes it into the Global 100 (the world's 100 most sustainable companies). Attends at World Economic Forum (WEF) in Davos, Switzerland.
Feb.	The <i>Daiwa Securities Group Sustainability Report 2006</i> wins the Sustainability Report Excellence Prize (the President's Award) awarded by the Global Environmental Forum.
Apr.	The <i>Daiwa Securities Group Sustainability Report 2006</i> wins the Excellence Prize in the sustainability report category awarded by Toyo Keizai Inc. Holds CSR seminar for individual investors titled "New Trends in Investing: The Search for Sustainable Growth Companies."
May	Holds environmental seminar for customers titled "Daiwa Environmental Forum 2007: What Is Happening to the Earth Now?"
July	Implements "Cool Biz" policy (open collars and shirtsleeves in the office) group-wide as an initiative to combat global warming.
Aug.	Establishes the Global Environment Foreign Bond Balance Fund and the Global Environment Equity Fund.
2008	
Feb.	Daiwa Securities Group Inc. participates as a special sponsor in a global environment symposium held jointly by the Tokyo office of the United Nations Development Programme (UNDP), the World Bank and Conservation International Japan (CI Japan). Daiwa Securities SMBC and the Daiwa Institute of Research, Ltd. hold the "Eco-innovation: What Industry Needs to Do on Global Warming Issues and Creating a Low-carbon Society" seminar.
Mar.	First in Japan to sell vaccine bonds. Creates the Daiwa Securities Group Asian Student Scholarship Fund with the University of Tokyo.
Apr.	Begins sales of the Russell Global Environmental Technology Fund. Establishes the Work-life Balance Promotion Committee and the Work-life Balance Promotion Department. Participates in the Ministry of Health, Labour and Welfare's model project promoting work-life balance.
May	Inaugurates a sponsored course at Peking University. Agrees to support commercialization of iPS cell research at Kyoto University.
June	Begins sales of World CO2L [Cool] Bonds. Obtains the Certified Family-friendly Employer designation established by the Ministry of Health, Labour and Welfare.
July	Contributes ¥6 million to the community chests of Iwate and Miyagi prefectures to contribute to Iwate-Miyagi Inland Earthquake disaster relief efforts.
Aug.	Holds family workplace visits throughout the Group.
Sept.	Ranked 14th, and 1st in the securities industry, in <i>Nihon Keizai Shimbun's</i> 2008 survey of Companies with Best Working Conditions, the Group's highest ranking to date. Included in the SRI index, Dow Jones Sustainability World Indexes (DJSI World) for the 10th consecutive year.
Oct.	Daiwa Securities Business Center Co. Ltd. awarded the Leading Office for Hiring People with Disabilities Prize in fiscal 2008 at the Award Ceremony for Excellence in Employment of People with Disabilities Association held by the Kiba branch of the Employment Development for Citizens, Tokyo.
Dec.	Releases the Daiwa Energy Technology Fund (limited open-type fund).
2009	
Feb.	Begins the second round of sales for vaccine bonds. Releases Next-Generation Environmental Business Fund.
Apr.	Announces the Group's new Medium-term Management Plan, "Passion for the Best 2011." The <i>Daiwa Securities Group Sustainability Report 2008</i> wins the prize for excellence in the sustainability report category awarded by Toyo Keizai Inc.
May	Included in the FTSE4Good, an index of socially responsible companies, for the fourth consecutive year.

## Daiwa Securities Group CSR Report 2009 Reader Questionnaire

Thank you for taking the time to read the Daiwa Securities Group CSR Report 2009. We are conducting a survey on this report on the website below. Please let us know your opinions or comments about this report.

<http://www.daiwa-grp.jp/ir/english/corporate/report/>  
(from September 2009)

## Reference to GRI Guidelines

This report was created by the Daiwa Securities Group with reference to the GRI\* Sustainability Reporting Guidelines 2006. Excerpts of these guidelines will be made available on the Group website in September 2009.

### \* Global Reporting Initiative (GRI):

An organization founded in 1997 to draw up and disseminate international guidelines for sustainability reporting. Participants include a variety of stakeholders, such as businesses, non-governmental organizations (NGOs), consultants, accounting groups, and trade associations from different parts of the world.

<http://www.globalreporting.org/Home>



## Please direct inquiries to:

Address: GranTokyo North Tower, 9-1, Marunouchi  
1-chome, Chiyoda-ku, Tokyo 100-6751,  
Japan  
TEL: +81-3-5555-1111  
E-mail: [brand@dsgi.daiwa.co.jp](mailto:brand@dsgi.daiwa.co.jp)  
URL: [http://www.daiwa-grp.jp/ir/english/corporate/  
report/](http://www.daiwa-grp.jp/ir/english/corporate/report/)