

OUR MISSION

2. Develop Sound Financial and Capital Markets for the Next Generation

In order to promote the move from savings to investment and provide an abundant supply of funds vital for sustainable social growth, we believe that the development of sound and vigorous financial and capital markets is essential.

For the Daiwa Securities Group, compliance is not limited simply to observing the law but extends to actions that preserve the trust of customers and society. Maintaining the highest ethical standards, all executives and employees take it upon themselves to identify and make improvements regarding operational risks and problems as they carry out their daily duties. In addition, the Group undertakes thorough measures to reinforce its system for monitoring unfair transactions and to eliminate antisocial forces in order to establish trustworthy financial and capital markets that are transparent and fair.

Taking advantage of the experience and knowledge developed through its core business, the Daiwa Securities Group focuses on economic and financial education for youth and the dissemination of information to society. By doing so, the Group will develop sound financial and capital markets for the next generation.

“ Having been employed at Daiwa Securities for over ten years, I have worked earnestly to build relationships of trust with customers at the Kunitachi Branch. In order to foster long-term customer relations, it is important to establish routine communication. For example, I believe that through various small things such as contacting customers regarding paperwork and procedures, they gain a greater sense of trust and feel more at ease. Rather than being something extraordinary, I think compliance revolves around the more fundamental and straightforward idea of always thoroughly carrying out one's duties in full consideration of the customer. ”

Amika Omi

Senior Assistant Manager
No. 2 Asset Consultant Department
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Ms. Omi joined Daiwa Securities Co. Ltd. in 1999 in a clerical position at the Kunitachi Branch and then switched to a regular position with prospects for promotion in 2001. Ms. Omi has received numerous awards in recognition of her many exceptional achievements as an account executive.



Ms. Omi at six months old

