

The Daiwa Securities Group's CSR Activities

2004	
January	CSR/brand management website goes online.
March	Establishes Disclosure Policy.
May	Begins sales of the Daiwa SRI Fund, an SRI investment trust that invests in Japanese corporations.
June	Changes to committee system.
July	Daiwa Securities Group Inc. establishes the CSR Promotion Department.
October	SRI fund included in defined-contribution pension fund for Daiwa Securities Group employees.
November	Becomes a member in the United Nations Environmental Programme Finance Initiative (UNEP FI).
2005	
February	Decides to offer long-term support for areas devastated by the Sumatra earthquake and South Asian tsunami (Daiwa Securities Group Tsunami Reconstruction Fund).
	Daiwa Securities Co. Ltd. begins Electronic Statement Promotion Project
June	Provides support to Finance Park, a hands-on economics education program offered by Junior Achievement.
	Begins support project for women entrepreneurs.
July	Daiwa Asset Management Co. Ltd. participates in a GRI G3 project working group to study the usefulness of sustainability reporting from the perspective of finance and investors.
October	Daiwa Securities Co. Ltd. begins the Daiwa e-members service, through which customers can ask to receive electronic transaction reports.
November	Begins the Daiwa SRI Fund Assistance Program to support NPO staff.
2006	
January	The <i>Daiwa Securities Group Sustainability Report 2005</i> wins the Sustainability Report First Prize (Minister of the Environment Award) awarded by the Global Environmental Forum.
February	Develops Euro-dollar Bonds with Emissions Credit Value Referenced Coupons (CO2L [Cool] Bonds).
	Begins sales of the Daiwa Eco Fund.
April	Announces new medium-term management plan "Passion for the Best 2008," which includes the Group's basic CSR strategy.
	The <i>Daiwa Securities Group Sustainability Report 2005</i> wins the Excellence Prize in the sustainability report category awarded by Toyo Keizai Inc.
May	Daiwa Asset Management Co. Ltd. signs the Principles for Responsible Investment (PRI), jointly created by the United Nations Environment Programme Finance Initiative (UNEP FI) and the UN Global Compact.
October	Opens Daiwa-JFS Youth Sustainability College with environmental NPO Japan for Sustainability (JFS) as a grant program of the Daiwa Eco Fund.
November	The <i>Daiwa Securities Group Sustainability Report 2005</i> ranked 34th (first in rankings for Japanese companies) in the Global Reporters 2006, an international comparative survey.
2007	
January	The Daiwa Securities Group makes it into the Global 100 (the world's 100 most sustainable companies).
	Attendance at World Economic Forum (WEF) in Davos, Switzerland.
February	The <i>Daiwa Securities Group Sustainability Report 2005</i> wins the Sustainability Report Excellence Prize (the President's Award) awarded by the Global Environmental Forum.
April	The <i>Daiwa Securities Group Sustainability Report 2006</i> wins the Excellence Prize in the sustainability report category awarded by Toyo Keizai Inc.
	Holds CSR seminar for individual investors titled "New Trends in Investing: The Search for Sustainable Growth Companies."
May	Holds environmental seminar for customers titled "Daiwa Environmental Forum 2007: What Is Happening to the Earth Now?"
July	Implements "Cool Biz" policy (open collars and shirtsleeves in the office) group-wide as an initiative to combat global warming.
August	Establishes the Global Environment Foreign Bond Balance Fund and the Global Environment Equity Fund.
September	Receives Japanese government approval for four greenhouse gas reduction projects in China.
2008	
February	Daiwa Securities Group Inc. participates as a special sponsor in a global environment symposium held jointly by the Tokyo office of the United Nations Development Programme (UNDP), the World Bank and Conservation International Japan (CI Japan).
	Daiwa Securities SMBC and the Daiwa Institute of Research, Inc. hold the "Eco-innovation: What Industry Needs to Do on Global Warming Issues and Creating a Low-carbon Society" seminar.
March	Sells vaccine bonds.
April	Creates the Daiwa Securities Group Asian Student Scholarship Fund (provisional name) with the University of Tokyo.
	Begins sales of the Russell Global Environmental Technology Fund.
	Establishes the Work-life Balance Promotion Committee and the Work-life Balance Promotion Department.
May	Participates in the Ministry of Health, Labour and Welfare's model project promoting work-life balance.
	Inaugurates a sponsored course at Peking University.
June	Agrees to support commercialization of iPS cell research at Kyoto University.
	Begins sales of the Certified Emission Reduction (CER) Linked USD Denominated Uridashi Bond (World CO2L [Cool] Bond).
	Obtains the Certified Family-friendly Employer designation.

Daiwa Securities Group Sustainability Report 2008 Reader Questionnaire

Thank you for taking the time to read the *Daiwa Securities Group Sustainability Report 2008*.

We are conducting a survey on this report on the Japanese website below. Please let us know your opinions or comments about this report.

<http://www.daiwa-grp.jp/branding/report/>

GRI Application Level

This report was created with reference to the GRI*¹ *Sustainability Reporting Guidelines 2006*. These guidelines recommend that sustainability reports declare their GRI Application Level,*² which is an indicator of the level of compliance with the GRI defined by the guidelines. The Daiwa Securities Group believes that the *Daiwa Securities Group Sustainability Report 2008* meets the criteria of GRI Application Level B.

*1 Global Reporting Initiative (GRI): An organization founded in 1997 to draw up and disseminate international guidelines for sustainability reporting. Participants include a variety of stakeholders, such as businesses, non-governmental organizations (NGOs), consultants, accounting groups, and trade associations from different parts of the world.

<http://www.globalreporting.org/Home>

*2 See the following URL for details concerning GRI Application Levels.
<http://www.globalreporting.org/GRIReports/ApplicationLevels/>

Web Activities • GRI Guidelines Content Index



This report has been printed using a waterless printing process that employs no organic solvents. The printing ink is made from soybean oil, which has a volatile organic compound (VOC) level of less than one percent.

Please direct inquiries to:

Address Gran Tokyo North Tower, 9-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-8101, Japan
TEL +81-3-5555-1111
E-mail brand@dsgi.daiwa.co.jp
URL <http://www.daiwa-grp.jp/>

Design and production assistance Cre-en Inc.