

## Third-party Evaluation

Daiwa Securities Group Inc. has been included in the following socially responsible investment (SRI) indices.

### FTSE4Good Index



FTSE4Good

FTSE is a subsidiary of the UK's Financial Times and the London Stock Exchange. It provides data and constructs global indices for investment.

Starting in September 2006, Daiwa Securities Group Inc. has been included in the FTSE4Good, an index of socially responsible companies compiled by FTSE. Mr. Will Oulton, Head of Responsible Investment at the FTSE Group offered this comment upon the inclusion of Daiwa Securities Group Inc. in the index.



Mr. Will Oulton  
Head of Responsible Investment  
FTSE Group

The FTSE4Good Index series has become one of the most internationally respected and influential responsible investment indices. To be eligible for inclusion, a company must meet a set of demanding criteria that are designed to reflect a broad global consensus on what constitutes good corporate responsibility practice. Companies gaining entry to the FTSE4Good index are able to represent that they have in place sufficiently robust policies and management systems to

manage the environmental social and governance ("ESG") risks and impacts.

Although Daiwa Group met the social and stakeholder criteria, Daiwa did not meet the environmental criteria. This year, FTSE are delighted to see an improvement in Daiwa's wide range of environmental measures and therefore judged Daiwa to be eligible for inclusion.

For achieving FTSE4Good status, Daiwa Securities Group Inc should be congratulated.

## Dow Jones Sustainability World Indices



The Dow Jones Sustainability World Index (DJSI World) is a stock index of companies with sustainable practices as selected by Dow Jones & Company, Inc. of the US, and Sustainable Asset Management (SAM) of Switzerland. Daiwa Securities Group Inc. has been in the DJSI World for eight years running now.