

GRI Content Index/Milestones toward Sustainability

GRI Content Index

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Milestones toward Sustainability

| Year | Month | Daiwa Securities Group Milestones toward Sustainability | |
|------|-------|--|-------------------------------|
| 2003 | 1 | Group-wide Corporate Ethics Hotline internal reporting system set up | |
| | 2 | Internal Audit Department established at Daiwa Securities Group Inc. | |
| | 3 | New medium-term management plan (for FY 2003 - 2005) established | |
| | 4 | New rewards program for shareholders inaugurated | |
| | 6 | Total Daiwa Securities Group Inc. director and corporate auditor compensation disclosed | |
| | 7 | Daiwa Securities SMBC Principal Investments invests in Community Development Carbon Fund, a World Bank carbon fund | |
| | 10 | Began supporting Junior Achievement's Student Company Program, a hands-on economic education program | |
| | 10 | Began selling UBS Global Equity 40 SRI+, an SRI investment trust that invests in global companies | |
| | 11 | Joined Social Investment Forum Japan (SIF-Japan) | |
| | 2004 | 1 | Opened CSR Website |
| | | 3 | Established Disclosure Policy |
| 4 | | Partnership with Waseda Graduate School of Finance, Accounting and Law | |
| 5 | | Began selling Daiwa SRI Fund, an SRI investment trust that invests in Japanese companies | |
| 6 | | Began new management system under the leadership of Shigeharu Suzuki and transitioned to a company based on a committee system | |
| 7 | | Established CSR Promotion Department within Daiwa Securities Group Inc. | |

*For information on milestones through 2002, see *Sustainability Report 2003*, page 40.

Sustainability Report 2004 reader questionnaire

Thank you for reading the Daiwa Securities Group's *Sustainability Report 2004*. We are conducting a survey on this report with a questionnaire available at the website below. Please use this questionnaire to give us your comments. Readers may also find questionnaire results for the 2003 report at this site.

http://www.daiwa.jp/branding/report/form_e.html

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Issued: November 2004 (next issue October 2005)

Design and production: Cre-en Inc.