

# The Daiwa Securities Group

## Holding Company

**Daiwa Securities Group Inc.** (As of July 1, 2002)

Corporate Auditors' Office

Secretariat

Personnel Department

Corporate Planning Department

Corporate Communications Department

Finance Department

Information Technology Department

General Affairs Department

Corporate Ethics Department

Corporate Community Affairs Department

Legal Department

### **Daiwa Securities Group Inc.**

6-4 Otemachi 2-chome, Chiyoda-ku,  
Tokyo 100-8101, Japan Tel: 81-3-3243-2100

## Retail Securities Company

**Daiwa Securities Co. Ltd.** (As of July 1, 2002)

- Corporate Auditors' Office
- Internal Audit Dept.
- Corporate Planning Dept.
- Organization & Personnel Dept.
- Accounting & Finance Dept.

### Administration Officer

- System & Operations Planning Dept.
- Operations Control Dept.

### Legal and Compliance Officer

- CS Promotion Dept.
- Compliance Dept.
- Branch Office Administration

### Product Division

#### Head of Product Division

#### Product Officer

- Product Planning Dept.
- Investment Strategy & Research Dept.
- Equity Dept.
- Fixed Income Dept.
- Investment Trust Dept.
- Pension & Annuity Dept.
- Product Operation Dept.

#### Marketing Officer

- Marketing Dept.
- Data Processing Dept.

### Sales Division

#### Head of Sales Division

#### Sales Planning Officer

- Sales Planning Dept.
- Daiwa Direct Dept.
- Call Center Dept.

#### Tokyo Metropolitan Regional Officer

#### East Japan Regional Officer

#### Middle Japan Regional Officer

#### Osaka Regional Officer

#### Kinki & Shikoku Regional Officer

#### Chugoku & Kyushu Regional Officer

#### Corporate Client and Private Banking Officer

- Corporate Client Services Dept.
- Private Banking Dept.

### **Daiwa Securities Co. Ltd.**

6-4 Otemachi 2-chome, Chiyoda-ku,  
Tokyo 100-8101, Japan Tel: 81-3-3243-2111

## Wholesale Securities Company

**Daiwa Securities SMBC Co. Ltd.** (As of July 18, 2002)

Daiwa Securities Group Inc. 60%  
Sumitomo Mitsui Banking Corporation 40%

### Product Division

Equity Officer

Derivatives & Structured Financial Products Officer

Fixed Income Officer

Financial Institutions & Public Institutions Officer

Corporate Sales Officer

Corporate Services Planning Officer

### Investment Banking Division

Corporate Institutions Officer

Corporate Finance Officer

Strategic Advisory (M&A) Officer

Investment Programs Officer

Principal Finance Officer

Osaka Branch Officer

Nagoya Branch Officer

Legal & Compliance Officer

Finance & Administration Officer

Planning Officer

International Operations Officer

**Daiwa Securities SMBC Co. Ltd.**

3-5 Yaesu 1-chome, Chuo-ku,  
Tokyo 100-8289, Japan Tel: 81-3-3243-2211

## Other Main Group Companies

**Daiwa Asset Management Co. Ltd. (DAM)**

**Daiwa Institute of Research Ltd. (DIR)**

**Daiwa SB Investments Ltd.**

**Daiwa Securities Business Center Co., Ltd. (DSC)**

**The Daiwa Real Estate Co., Ltd.**

**NIF Ventures Co., Ltd. (NIF)**

**Daiwa Asset Management Co. Ltd. (DAM)**

10-5 Nihonbashi, Kayabacho 2-chome, Chuo-ku, Tokyo  
103-0025, Japan Tel: 81-3-5695-2111

**Daiwa Institute of Research Ltd. (DIR)**

Daiwa-Soken Building, 15-6 Fuyuki, Koto-ku, Tokyo  
135-8460, Japan Tel: 81-3-5620-5100

**Daiwa SB Investments Ltd.**

7-9 Nihonbashi 2-chome, Chuo-ku, Tokyo  
103-0027, Japan Tel: 81-3-3243-2915

**Daiwa Securities Business Center Co., Ltd. (DSC)**

3-2 Toyo 2-chome, Koto-ku, Tokyo  
135-0016, Japan Tel: 81-3-5633-6100

**The Daiwa Real Estate Co., Ltd.**

1-9 Nihonbashi, Kayabacho 1-chome, Chuo-ku, Tokyo  
103-0025, Japan Tel: 81-3-3665-5501

**NIF Ventures Co., Ltd. (NIF)**

2-1 Kyobashi 1-chome, Chuo-ku, Tokyo  
104-0031, Japan Tel: 81-3-5201-1515