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Note: This English translation of the original Japanese version of the notice has been prepared for the sole purpose of the convenience of non-Japanese shareholders and shall by no means constitute an official or binding version of the notice.

June 24, 2021

Daiwa Securities Group Inc.
9-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo
Seiji Nakata, President and CEO

Notice of Results of Exercise of Voting Rights
at the 84th Ordinary General Meeting of Shareholders

We are pleased to inform you of the results of the exercise of voting rights at the 84th Ordinary General Meeting of Shareholders (hereafter, “Meeting”) of Daiwa Securities Group Inc. held on June 23, 2021 as set out below.

1. Status of Voting Rights

Number of shareholders holding voting rights	141,441
Number of voting rights held by such shareholders	15,229,652

2. Matters to be resolved

Bill : Election of fourteen (14) Directors

The nominees for Directors are Mr. Takashi Hibino, Mr. Seiji Nakata, Mr. Toshihiro Matsui, Ms. Keiko Tashiro, Mr. Akihiko Ogino, Ms. Sachiko Hanaoka, Mr. Hiromasa Kawashima, Mr. Michiaki Ogasawara, Mr. Hirotaka Takeuchi, Mr. Ikuo Nishikawa, Ms. Eriko Kawai, Mr. Katsuyuki Nishikawa, Mr. Toshio Iwamoto and Ms. Yumiko Murakami.

3. Results of Exercise of Voting Rights (including number of votes in favor of the proposed bills, against the proposed bills and abstaining) and Requirements for Approval of the Bills

Agenda Item	In favor of the proposed bill	Against the proposed bill	Abstentions	Total number of voting rights exercised	Ratio of votes in favor of the proposed bill	Final results
Bill	-	-	-	-	-	-
Takashi Hibino	11, 203, 626	561, 774	10	11, 777, 074	95. 13%	Approved
Seiji Nakata	11, 202, 454	562, 946	10	11, 777, 074	95. 12%	Approved
Toshihiro Matsui	11, 668, 955	96, 452	10	11, 777, 081	99. 08%	Approved
Keiko Tashiro	11, 676, 349	89, 058	10	11, 777, 081	99. 14%	Approved
Akihiko Ogino	11, 676, 596	88, 811	10	11, 777, 081	99. 15%	Approved
Sachiko Hanaoka	11, 331, 965	433, 435	10	11, 777, 074	96. 22%	Approved
Hiromasa Kawashima	11, 332, 770	432, 630	10	11, 777, 074	96. 23%	Approved
Michiaki Ogasawara	9, 767, 313	1, 998, 087	10	11, 777, 074	82. 93%	Approved
Hirotaka Takeuchi	11, 665, 559	91, 817	8, 041	11, 777, 081	99. 05%	Approved

Ikuo Nishikawa	11,722,506	34,870	8,041	11,777,081	99.54%	Approved
Eriko Kawai	11,703,331	62,076	10	11,777,081	99.37%	Approved
Katsuyuki Nishikawa	11,668,853	88,523	8,041	11,777,081	99.08%	Approved
Toshio Iwamoto	11,670,564	86,812	8,041	11,777,081	99.10%	Approved
Yumiko Murakami	11,733,108	32,299	10	11,777,081	99.63%	Approved

Note 1. The number of votes in favor of the proposed bill, against the proposed bill and abstaining are the sum of the numbers of voting rights exercised in writing or via electronic device (via the Internet, etc.) until the day before the Meeting and the number of voting rights which were exercised on the day of the Meeting and of which we could confirm the result of exercise. The total number of voting rights exercised is the sum of the number of voting rights exercised in writing or via electronic device (via the Internet, etc.) until the day before the Meeting and the number of all the voting rights which were exercised on the day of the Meeting.

Note 2. The requirements for approval of the above bill is a vote in favor by a majority of the votes of the shareholders present at the meeting where shareholders holding a majority of one third or more of the votes of the shareholders entitled to exercise their votes at such shareholders' meeting are present.

4. Reason for not including some of the voting rights which are exercised on the day of the Meeting

We do not include some of the voting rights which were exercised on the day of the Meeting of which we could not confirm the result of exercise because all proposed bills meet the requirement for approval by totaling the number of voting rights exercised until the day before the Meeting and the number of voting rights which were exercised on the day of the Meeting of which we could confirm the result of exercise, and therefore, it is resolved in accordance with the Companies Act.

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