

Press Release  
3 September, 2018

Attention

This is an unofficial translation of a press release announced on 3 September , 2018 by DaiwaSecurities Group Inc. The original press release is in Japanese.

### **Daiwa license granted by German Regulator BaFin**

Daiwa Securities Group, Inc. (TSE 1:8601) (“Daiwa”) announced today that its new Frankfurt subsidiary, Daiwa Capital Markets Deutschland GmbH, has been granted a securities trading license by the German regulator BaFin. The receipt of the licence is a major milestone in ensuring that the Daiwa Group will be in a position to continue to provide its full range of products and services to all EEA counterparties after the United Kingdom leaves the EU.