

Press Release

This is an unofficial and abbreviated translation of a press release announced on September 21, 2016 by Daiwa Securities Co. Ltd. The original press release is in Japanese.

Notice Regarding the Launch of “Daiwa Fund Wrap Premium”

Daiwa Securities Co. Ltd. announced today that the company will start offering its new discretionary investment service called “Daiwa Fund Wrap Premium” from October 3, 2016.

While taking advantage of the features of “Daiwa Fund Wrap”, our existing service designed for investors seeking relatively secured investment gains, Daiwa Fund Wrap Premium is highly customizable to serve a wide range of asset management solutions, thus able to meet the needs of customers in various life stages.

[Details]

1. Features of the Service

- ① Offers 7 risk-profile options (Daiwa Fund Wrap offers 5 risk-profile options).
- ② Up to 5 separate portfolios can be set for different investment targets and purposes.
- ③ Multifunctional design with incidental service options reflecting various customer needs, including bequeathing assets, cash flow management, and contributions.
- ④ Continuous portfolio upgrade and maintenance to support and realize customer goals.

2. Summary of the Service

| Title | Content |
|----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|
| Contract amount | Minimum: JPY 30mil with increments of JPY 10,000 |
| Contract period | Automatic renewal (annually) |
| Investment style | Propose the most suitable investment style based on a survey answered by each customer |
| Investing assets | One can choose up to 3 funds by each asset class from carefully selected funds |
| Investment portfolios | One can set up to 5 portfolios (Contract amount per portfolio Minimum: JPY 3mil with increments of JPY 10,000) |
| Pre-specify the beneficiary in case of inheritance | Liquidated assets of “Daiwa Fund Wrap Premium” will be paid to pre-specified family member when inheritance occurs |